

Sheet 33: Vector spaces

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Let R be a set endowed with two functions:

$$+ : R \times R \rightarrow R$$

and

$$\cdot : R \times R \rightarrow R$$

Instead of using function notation we will denote

$$a + b = +((a, b))$$

the *sum* of a and b ($a, b \in R$) and

$$a \cdot b = \cdot((a, b))$$

the *product* of a and b ($a, b \in R$).

So far this could be any weird structure since we did not make any restrictions on the sum and product functions. To make sense, we need some rules.

A1: Commutativity of Addition. For all $a, b \in R$ we have

$$a + b = b + a$$

A2: Associativity of Addition. For all $a, b, c \in R$ we have

$$a + (b + c) = (a + b) + c$$

A3: Additive Identity. There is $0 \in R$ such that for all $a \in R$ we have

$$a + 0 = 0 + a = a$$

A4: Additive Inverse. For all $a \in R$ there exists $-a \in R$ such that

$$a + (-a) = (-a) + a = 0$$

M1: Commutativity of Multiplication. For all $a, b \in R$ we have

$$a \cdot b = b \cdot a$$

M2: Associativity of Multiplication. For all $a, b, c \in R$ we have

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

M3: Multiplicative Identity. There is $1 \in R$ such that for all $a \in R$ we have

$$a \cdot 1 = 1 \cdot a = a$$

M4: Multiplicative Inverse. For all $0 \neq a \in R$ there exists $a^{-1} \in R$ such that

$$a \cdot a^{-1} = a^{-1} \cdot a = 1$$

D: Distributivity. For all $a, b, c \in R$ we have

$$a \cdot (b + c) = a \cdot b + a \cdot c$$

If $R(+, \cdot)$ satisfies all but M4, we call it a *commutative ring* (with unit). Examples: \mathbb{Z} (integers), \mathbb{Q} (rational numbers), \mathbb{R} (real numbers), \mathbb{C} (complex numbers), $\mathbb{Z}[x]$ (polynomials) all with the usual addition and multiplication.

If $R(+, \cdot)$ satisfies all the above, we call it a *field*. From the above, \mathbb{Q} , \mathbb{R} and \mathbb{C} are fields but \mathbb{Z} is not.

If we only defined $+$ then we say that $R(+)$ is an *Abelian group* if it satisfies A1-A4.

For vector spaces, one first needs a field K (called the ground field). Elements of K are called *scalars*. For this definition, we will be very punctual with notation; then we shall ease up.

Let $K(+_K, \cdot_K)$ be a field. Let V be a set endowed with two functions:

$$+_V : V \times V \rightarrow V$$

and

$$\cdot_V : K \times V \rightarrow V.$$

Note that \cdot_V always multiplies a scalar with a vector! We will never attempt to multiply two vectors in a vector space.

DEFINITION. The structure $(V, K, +_K, \cdot_K, +_V, \cdot_V)$ is a *vector space*, if:

V1: $K(+_K, \cdot_K)$ is a field

V2: $(V, +_V)$ satisfies A1-A4 (that is, it is an Abelian group)

V3: For all $\alpha, \beta \in K$ and $v \in V$ we have

$$(\alpha \cdot_K \beta) \cdot_V v = \alpha \cdot_V (\beta \cdot_V v)$$

V4: For all $\alpha, \beta \in K$ and $v \in V$ we have

$$(\alpha +_K \beta) \cdot_V v = (\alpha \cdot_V v) +_V (\beta \cdot_V v)$$

V5: For all $\alpha \in K$ and $v, w \in V$ we have

$$\alpha \cdot_V (v +_V w) = (\alpha \cdot_V v) +_V (\alpha \cdot_V w)$$

V6: For all $v \in V$ we have

$$1 \cdot_V v = v$$

where 1 is the multiplicative identity of K .

Exercise 1 Show that for all $\alpha \in K, v \in V$ we have

$$\alpha \cdot_V 0 = 0 \cdot_V v = 0$$

and if $\alpha \cdot_V v = 0$ then $\alpha = 0$ or $v = 0$.

Here 0 means two things of course: either the additive identity in V or in K . They are never equal, since one is in V and the other is in K . It is time to omit the subscripts in \cdot_V and so altogether, since it will be always clear which operator we are using. Also, after a while we will just write αv instead of $\alpha \cdot v$.

In the following, V is always a vector space over the field K .

Definition 2 (Linear Combination) Let $v_1, \dots, v_n \in V$ and let $\alpha_1, \dots, \alpha_n \in K$. Then the linear combination of v_i with coefficients α_i is defined as

$$\sum_{i=1}^n \alpha_i \cdot v_i$$

The linear combination is non-trivial, if not all the α_i are 0.

Definition 3 (Linearly Dependent Set) Let X be a subset of V . Then X is linearly dependent, if there are distinct elements $v_1, \dots, v_n \in X$ such that a non-trivial linear combination of the v_i equals zero.

Definition 4 ((In)dependence from a Set) Let X be a subset of V and let $v \in V$. Then v is depends on X , if it can be written as a linear combination of elements of X . Otherwise, it is independent of X .

Some basic stuff.

Exercise 5 X is linearly dependent if and only if there exists $x \in X$ that depends on $X \setminus x$.

Exercise 6 Let X be a linearly independent subset. Then $v \in V$ depends on X if and only if $X \cup \{v\}$ is linearly dependent.

Definition 7 (Subspace) A subset $U \subseteq V$ is a subspace if U is not empty and for all $u, v \in U$ and $\alpha \in K$ we have $u + v \in U$ and $\alpha u \in U$.

Exercise 8 The intersection of (an arbitrary number of) subspaces is a subspace.

Definition 9 (Spanned subspace) For a subset $X \subseteq V$ let the subspace spanned by X be defined as

$$\langle X \rangle = \bigcap_{\substack{U \text{ subspace in } V \\ X \subseteq U}} U$$

the smallest subspace that contains X .

Exercise 10 For any subset $X \subseteq V$ we have

$$\langle X \rangle = \left\{ \sum_{i=1}^n \alpha_i v_i \mid n \in \mathbb{N}, \alpha_i \in K, v_i \in X \right\}$$

Definition 11 (Spanning subset) A subset $X \subseteq V$ spans V if $\langle X \rangle = V$. The vector space V is finitely generated if it has a finite spanning subset.

Definition 12 (Basis) A subset $X \subseteq V$ is a basis if it is linearly independent and spans V .

Exercise 13 A subset $X \subseteq V$ is a basis if and only if every element of V can be obtained as a unique linear combination of elements of X .

Exercise 14 The following are equivalent for a subset $X \subseteq V$:

- 1) X is a basis;
- 2) X is a maximal independent subset;
- 3) X is a minimal spanning subset.

It is not clear at all, that every vector space has a basis! Actually, this is equivalent to the Axiom of Choice.

Exercise 15 Let $X \subseteq V$ be a finite linearly independent subset and let $Y \subseteq V$ be a finite spanning subset. Then $|X| \leq |Y|$.

Exercise 16 If V is finitely generated, then it has a basis, and every basis has the same number of elements.

Definition 17 (Dimension) The dimension of V , $\dim_K(V)$ is the number of elements in a basis of V .

Exercise 18 Assume that V is finitely generated. Then any linearly independent subset of V can be extended to be a basis and any spanning subset of V contains a basis.