#### **Algebraic Cycles**

Spencer Bloch

#### February 28, March 3,4, 2014 Albert Lectures, University of Chicago

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# Outline



#### Introduction

- Algebraic varieties
- Vector Bundles and Coherent Sheaves
- Algebraic Cycles



#### **Great Themes**

- Abel's Theorem
- The Riemann-Roch Theorem
- Enumerative Geometry
- Higher K-theory
- Motivic cohomology
- The Hodge conjecture

#### Sheaves

- Sophisticated; powerful tools (Grothendieck's 6 functors, homological methods)
- Deep conjectures (Geometric Langlands); viable programs of study.

#### Algebraic cycles

- Traditionally quite crude (moving lemmas and issues of functoriality)
- ► Work of Voevodsky (*h*-topology, A<sup>1</sup>-homotopy and methods from algebraic topology)
- ▶ Deep conjectures (cycles ↔ morphisms in the category of motives)
  - \* Beilinson conjectures; special values of *L*-functions
  - ★ Hodge conjectures
- Cycle schizophrenia; tools used to study cycles (higher K-theory, A<sup>1</sup>-homotopy, cyclic homology) are often quite removed from the cycles themselves.

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## Affine and Projective Varieties.

- k a field;  $\mathbb{A}_k^n$  is affine *n*-space over k.
- Affine variety V defined by polynomials  $f_i(x_1, \ldots, x_n) = 0; V \subset \mathbb{A}_k^n$ .
- Projective space  $\mathbb{P}_k^n =$  Space of lines through 0 in  $\mathbb{A}_k^{n+1}$
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### Vector Bundles and Coherent Sheaves

#### • $\mathcal{O}_X$ sheaf of functions on X for the Zariski topology

- Vector bundles  $\mathcal{V}$  and coherent sheaves  $\mathcal{F}$ .
  - Example: Kähler differential forms

$$\Omega'_{X/k} := \bigwedge \Omega'_{X/k}.$$

- If X is *smooth* then  $\Omega_{X/k}^r$  is a vector bundle.
- *K*<sub>0</sub>(*X*) Grothendieck group of vector bundles generators [*V*], *V* a vector bundle on *X* relations [*V*] = [*V*'] + [*V*''] if

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• Algebraic cycles are finite formal linear combinations of closed subvarieties of *X*.

$$Z=\sum_i n_i Z_i; \quad Z_i\subset X.$$

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#### Chow groups

• Intersection product of cycles  $Z \cdot W$ 

$$\mathcal{Z}^{r}(X)\otimes \mathcal{Z}^{s}(X)\dashrightarrow \mathcal{Z}^{r+s}(X)$$

- defined for X smooth and cycles Z, W in good position
- $\blacktriangleright Z \cdot W =$

sum over irreducible components of  $Z_i \cap W_j$  with multiplicities

#### • Functoriality; $f : X \to Y$

- $f_*: \mathcal{Z}^r(X) \to \mathcal{Z}^{r-\dim X/Y}(Y)$ , f proper
- $f^* : \mathcal{Z}^r(Y) \to \mathcal{Z}^r(X)$ , f flat

• Rational equivalence  $\mathcal{Z}^r(X)^{rat} \subset \mathcal{Z}^r(X)$ 

$$\begin{array}{c} X \xleftarrow{pr_1} X \times \mathbb{P}^1 \xrightarrow{pr_2} \mathbb{P}^1 \\ pr_{1*}(Z \cdot pr_2^*((0) - (\infty))) \in \mathcal{Z}^r(X)^{rat} \end{array}$$

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Chow group

$$CH^{r}(X) := \mathcal{Z}^{r}(X)/\mathcal{Z}^{r}(X)^{\operatorname{rat}}.$$

 General reference: Fulton, Intersection Theory (Springer Verlag).

#### **Divisors and Line Bundles**

- X smooth variety,  $D \subset X$  effective divisor,  $x \in X$  a point.  $\exists x \in U \subset X$  open,  $f \in \Gamma(U, \mathcal{O}_X)$ ,  $D \cap U : f = 0$ .
- { $U_i, f_i$ } defining D; { $U_i \cap U_j, f_i/f_j$ } 1-cocycle with values in  $\mathcal{O}_X^{\times}$ , sheaf of units.
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- X projective algebraic curve (Assume X(k) ≠ Ø) (compact Riemann surface for k = C)
- O-cycles Z<sub>0</sub>(X) (Note Z<sub>0</sub>(X) = Z<sup>1</sup>(X); O-cycles are divisors)
   ▶ Degree map

$$\mathcal{Z}_0(X)^0 := \ker(\mathcal{Z}_0(X) \xrightarrow{\text{deg}} \mathbb{Z}); \quad \sum n_i(x_i) \mapsto \sum n_i$$

Divisors of functions

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#### Abel's Theorem

• Abel's Theorem:

$$CH_0(X)^0 \cong J_X(k).$$

- The 0-cycles of degree 0 modulo divisors of functions are the *k*-points of an *Abelian Variety J<sub>X</sub>*.
- Case  $k = \mathbb{C}$ .

$$J_X(\mathbb{C}) = \Gamma(X, \Omega^1_{X/\mathbb{C}})^{\vee}/H_1(X, \mathbb{Z})$$

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- Decreasing filtration  $F^*H_{\mathbb{C}}$ ; conjugate filtration  $\overline{F}^*H_{\mathbb{C}}$ .
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- "If conjectures were horses, mathematicians would ride..."
  - $\Lambda(H^r(\overline{X}), s) = L(H^r(\overline{X}), s) \cdot \Gamma$  factor  $\cdot$  exponential term
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- Given  $s_1, \ldots, s_p \in \Gamma(X, V), p \le r$  sections in general position.
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• Chern Character (X smooth)

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$$ch: K_0(X)_{\mathbb{Q}} \cong \bigoplus_i CH^i(X)_{\mathbb{Q}}.$$

Here *ch* is a power series in the  $c_i$ .

• Assume  $f : X \rightarrow Y$  proper map, X, Y smooth.

- $f_!: K_0(X) \to K_0(Y)$ 
  - Example, Y =point.
  - $f_![\mathcal{V}] = \sum (-1)^i [H^i(X, \mathcal{V})] = \chi(\mathcal{V}) \in K_0(\text{point}) = \mathbb{Z}.$

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- $x \in X$  defines  $\theta(x) \in \text{Hom}(\Gamma(X, L), k)(!?)$
- $\theta(x)(\ell) := \ell(x) \in L(x) \cong k.$ 
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• Resolution of  $\mathcal{K}_{n,X}$  for X smooth

$$0 \to \mathcal{K}_n \to \coprod_{x \in X^0} i_{x*} \mathcal{K}_n(k(x)) \to \coprod_{x \in X^1} i_{x*} \mathcal{K}_{n-1}(k(x))$$
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Corollary: H<sup>n</sup>(X, K<sub>n</sub>) ≅ CH<sup>n</sup>(X).
n = 1; Pic(X) = CH<sup>1</sup>(X) ≅ H<sup>1</sup>(X, K<sub>1</sub>) = H<sup>1</sup>(X, O<sub>X</sub><sup>×</sup>).
In general

$$\prod_{x\in X^{n-1}}i_{x*}K_1(k(x))\to \prod_{x\in X^n}i_{x*}K_0(k(x));$$
  
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• Corollary:  $H^n(X, \mathcal{K}_n) \cong CH^n(X)$ .

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$$\begin{split} & \coprod_{x\in X^{n-1}} i_{x*} \mathcal{K}_1(k(x)) \rightarrow \coprod_{x\in X^n} i_{x*} \mathcal{K}_0(k(x)); \\ & \mathcal{K}_1(k(x)) = k(x)^{\times}, \ \mathcal{K}_0(k(x)) = \mathbb{Z}. \end{split}$$

# Motivic Cohomology

# • *k* a field. **DM**<sup>*eff,-*</sup><sub>*Nis*</sub> triangulated category of Nisnevich sheaves with transfers.

- References
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- For X smooth, have objects  $M(X), \mathbb{Z}(q)$  in **DM**<sup>eff,-</sup><sub>Nis</sub>

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# Motivic Cohomology and K-theory

Beilinson definition

$$H^p_M(X,\mathbb{Q}(q)) := gr^q_{\gamma} K_{2q-p}(X)_{\mathbb{Q}}.$$

Example:

$$H^{2p}_M(X,\mathbb{Q}(p))=gr^p_\gamma K_0(X)\cong CH^p(X)_\mathbb{Q}$$

#### • $\Delta_k^n := \operatorname{Spec} k[t_0, \ldots, t_n]/(\sum t_i - 1)$ algebraic *n*-simplex.

- $\iota_i : \Delta^{n-1} \hookrightarrow \Delta^n$  locus  $t_i = 0$ .
- $\mathcal{Z}^{p}(X \times \Delta^{n})' \subset \mathcal{Z}^{p}(X \times \Delta^{n})$  cycles in good position w.r.t. faces.
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#### **Higher Chow Groups**

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#### Higher Chow Groups and Motivic Cohomology

- X smooth,  $H^p_M(X,\mathbb{Z}(q)) \cong CH^q(X,2q-p)$ .
  - Variant: Cubical cycles: □ := ℙ<sup>1</sup> {1}; Replace Δ<sup>n</sup> with □<sup>n</sup>; factor out by degeneracies.
  - Face maps  $\iota_i^j : \Box^{n-1} \hookrightarrow \Box^n, j = 0, \infty$

#### Examples

#### • Chow groups $CH^p(X) = H^{2p}_M(X, \mathbb{Z}(p)).$

 Milnor classes: f<sub>1</sub>,..., f<sub>n</sub> ∈ Γ(X, O<sup>×</sup><sub>X</sub>). {f<sub>1</sub>,..., f<sub>n</sub>} := [(x, f<sub>1</sub>(x),..., f<sub>n</sub>(x)) ∩ (X × □<sup>n</sup>)] ∈ CH<sup>n</sup>(X, n) = H<sup>n</sup><sub>M</sub>(X, Z(n)).
 dim X = 2, C<sub>i</sub> ⊂ X curves, f<sub>i</sub> ∈ k(C<sub>i</sub>)<sup>×</sup> rational functions. Γ<sub>i</sub> := {(c, f<sub>i</sub>(c))|c ∈ C<sub>i</sub>} ∈ Z<sup>2</sup>(X × □<sup>1</sup>).

 $\sum_{i} (f_i) = 0 \in \mathcal{Z}_0(X) \Rightarrow \sum \Gamma_i \in CH^2(X, 1) = H^3_M(X, \mathbb{Z}(2)).$ 

#### Examples

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#### Higher Chow DGA

•  $X = \operatorname{Spec} k$  a point. Product

$$\mathcal{Z}^p(\Box^n)\otimes\mathcal{Z}^q(\Box^m)\to\mathcal{Z}^{p+q}(\Box^{m+n}).$$

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$$\mathfrak{N}^{p}(r) := \mathcal{Z}^{r}(\Box_{k}^{2r-p})_{\mathbb{Q},Ah}$$
  
•  $\mathfrak{N}^{*}(\bullet) := \bigoplus_{r,p \ge 0} \mathfrak{N}^{p}(r)$ 

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## Cycles and the Tannakian Category of Mixed Tate Motives

- Hopf algebra  $H := H^0(Bar(\mathfrak{N}^*(\bullet)))$
- *G* = Spec (*H*) as Tannaka group of category of mixed Tate motives (?).
- Bloch, Kriz, Mixed Tate Motives, Annals of Math. 140 (1994).

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# $\begin{array}{ccc} \mathfrak{N}^{1}(1)\otimes\mathfrak{N}^{1}(1) & \xrightarrow{\mathsf{mult}} & \mathfrak{N}^{2}(2) \\ & \uparrow^{\partial} & & \uparrow^{\partial} \\ (\mathfrak{N}^{1}(1)\otimes\mathfrak{N}^{1}(0)) \oplus (\mathfrak{N}^{1}(0)\otimes\mathfrak{N}^{1}(1)) & \longrightarrow & \mathfrak{N}^{1}(2)/\partial\mathfrak{N}^{0}(2) \end{array}$

- $\mathfrak{N}^1(1)/\partial\mathfrak{N}^1(0) \cong k^{\times} \otimes \mathbb{Q}$
- $\mathfrak{N}^{1}(2)/\partial\mathfrak{N}^{0}(2) \ni T_{x}, x \in k \{0, 1\}$  Totaro cycles
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 Totaro cycle

$$(1)/\partial\mathfrak{N}^{1}(0) \cong k^{\times} \otimes \mathbb{Q}$$
$$(2)/\partial\mathfrak{N}^{0}(2) \supset T \times \subset k$$

• 
$$\mathfrak{N}^2(2)/\mathrm{mult} \circ \partial \cong \bigwedge^2 k^{\times}$$

$$\begin{array}{ccc} \mathfrak{N}^{1}(1)\otimes\mathfrak{N}^{1}(1) & \xrightarrow{\mathsf{mult}} & \mathfrak{N}^{2}(2) \\ & \uparrow \partial & & \uparrow \partial \\ (\mathfrak{N}^{1}(1)\otimes\mathfrak{N}^{1}(0)) \oplus (\mathfrak{N}^{1}(0)\otimes\mathfrak{N}^{1}(1)) & \longrightarrow & \mathfrak{N}^{1}(2)/\partial\mathfrak{N}^{0}(2) \end{array}$$

• 
$$\mathfrak{N}^1(1)/\partial\mathfrak{N}^1(0)\cong k^{ imes}\otimes\mathbb{Q}$$

- $\mathfrak{N}^{1}(2)/\partial\mathfrak{N}^{0}(2) \ni T_{x}, x \in k \{0, 1\}$  Totaro cycles
- $\mathfrak{N}^2(2)/\mathrm{mult} \circ \partial \cong \bigwedge^2 k^{\times}$

### • $T_x = \{(t, 1 - t, 1 - xt^{-1}) \mid t \in \mathbb{P}^1\}$ parametrized curve in $\square^3$ .

- $\partial T_x = (x, 1-x) \in \mathbb{Z}^2(\square^2) = \mathfrak{N}^2(2).$
- $[(x) \otimes (1-x), T_x] \in H^0(Bar(\mathfrak{N}^*(\bullet)))$
- Comodule generated is *Dilog*(*x*).
- 0  $\rightarrow H^1_M(k, \mathbb{Q}(2)) \rightarrow \mathfrak{N}^1(2)/\partial \mathfrak{N}^0(2) \xrightarrow{\partial} \bigwedge^2 k^{\times} \otimes \mathbb{Q}$

- *T<sub>x</sub>* = {(*t*, 1 − *t*, 1 − *xt*<sup>-1</sup>) | *t* ∈ ℙ<sup>1</sup>} parametrized curve in □<sup>3</sup>.
   ∂*T<sub>x</sub>* = (*x*, 1 − *x*) ∈ Z<sup>2</sup>(□<sup>2</sup>) = N<sup>2</sup>(2).
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- $0 \to H^1_M(k, \mathbb{Q}(2)) \to \mathfrak{N}^1(2)/\partial \mathfrak{N}^0(2) \xrightarrow{\partial} \bigwedge^2 k^{\times} \otimes \mathbb{Q}$

#### The Hodge Conjecture

#### • $k = \mathbb{C}$ , X smooth, projective variety.

- $Z \in \mathcal{Z}^{r}(X), [Z]_{DR} \in F^{r} \mathbb{H}^{2r}(X, \Omega_{X}^{*}), [Z]_{Betti} \in H^{2r}_{Betti}(X, \mathbb{Z}(r)).$
- Hodge Conjecture: F<sup>r</sup> H<sup>2r</sup><sub>Betti</sub>(X, C) ∩ H<sup>2r</sup>(X, Q(r)) is generated by algebraic cycle classes.

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#### Infinitesimal and Variational Hodge Conjecture

- Variational Hodge Conjecture (Grothendieck): X/S family, σ<sub>s</sub> horizontal family of cohomology classes. If σ<sub>0</sub> is algebraic at one point 0 ∈ S, then it is algebraic everywhere.
- Infinitesimal Hodge theorem:  $X/\Lambda$  formal family ( $\Lambda = \overline{\mathbb{Q}}[[t]]$  or mixed characteristic  $\Lambda = W(k)$ ). Then algebraic classes on the closed fibre lift to algebraic classes on all thickenings iff the horizontal lift (or crystalline lift in mixed char.) of the cohomology class is Hodge.

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