

Singularity properties of convolutions of algebraic morphisms and applications

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Motivation: convolution in analysis

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- ① we have $(f * g)' = f' * g = f * g'$,
- ② and if $f \in C^k(\mathbb{R})$ and $g \in C^l(\mathbb{R})$ then $f * g \in C^{k+l}(\mathbb{R})$.

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Question

Is there a geometric analogue to this phenomenon?

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- 2 Let G be any algebraic group and let $[,] : G \times G \rightarrow G$ be the commutator map $[x, y] = xyx^{-1}y^{-1}$. Then $[,] * [,](x_1, y_1, x_2, y_2) = [x_1, y_1] \cdot [x_2, y_2] = x_1y_1x_1^{-1}y_1^{-1}x_2y_2x_2^{-1}y_2^{-1}$.

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Observation (convolution commutes with counting points over finite rings)

Let A be a finite ring, and consider the maps $(\varphi_i)_A : X(A) \rightarrow G(A)$.

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- By (\dagger) we have,

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- Properties preserved under convolutions: dominance, flatness, flatness with reduced or normal fibers, smoothness.
- If S is a property of morphisms which is preserved under base change and compositions and $X \rightarrow \text{Spec}(K)$ satisfies S , then it is preserved under convolutions.

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Remark

- 1 To see (4), take $\varphi(x_1, \dots, x_m) = (x_1^2, (x_1 x_2)^2, (x_1 x_3)^2, \dots, (x_1 x_m)^2)$.

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- 2 In general, we should not expect to get a smooth morphism if we start from a non-smooth morphism (e.g. $\varphi : \mathbb{A}^1 \rightarrow \mathbb{A}^1$ by $\varphi(x) = x^2$, then $d\varphi_{(0, \dots, 0)}^{*n} = 0$ for all $n \in \mathbb{N}$).

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Locally, this is equivalent to the following:

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An affine variety X has rational singularities if it is Cohen-Macaulay, normal and if for every strong resolution of singularities $p : \tilde{X} \rightarrow X$ and regular top differential form $\omega \in \Omega_{X^{\text{sm}}}^{\text{top}}(X^{\text{sm}})$ there exists a regular top differential form $\tilde{\omega} \in \Omega_{\tilde{X}}^{\text{top}}(\tilde{X})$ such that $\omega = \tilde{\omega}|_{X^{\text{sm}}}$.

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Example

Consider the variety $X = \{\sum_{i=1}^k x_i^{n_i} = 0\} \subseteq \mathbb{A}^k$ ($k > 1$).

X has rational singularities if $\sum_{i=1}^k \frac{1}{n_i} > 1$ (and $(0, \dots, 0)$ is not a rational singularity if $\sum_{i=1}^k \frac{1}{n_i} < 1$).

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Theorem (Glazer-H. 2018)

Let X be a smooth, absolutely irreducible variety, G be an algebraic group and let $\varphi : X \rightarrow G$ be a dominant morphism. Then there exists $N \in \mathbb{N}$ such that for any $n > N$ the n -th convolution power φ^{*n} is (FRS).

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Let $\varphi : \mathbb{A}^1 \rightarrow G = (\mathbb{A}^1, +)$ be the map $\varphi(x) = x^3$.

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φ^{*3}	$\{x^3 + y^3 + z^3 = 0\}$	✓	✓	✗
φ^{*4}	$\{x^3 + y^3 + z^3 + w^3 = 0\}$	✓	✓	✓

$\Rightarrow \varphi^{*4}$ is (FRS).

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Theorem (Lang-Weil bounds)

$$|X(\mathbb{F}_{p^k})| = p^{k \dim X_{\mathbb{Q}}} (1 + O(p^{-k/2})) \text{ for } p \gg 0.$$

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In particular, the asymptotics of $|X(\mathbb{F}_{p^k})|$ in p only depend on $\dim X_{\mathbb{Q}}$. If X is smooth, we have for almost all primes,

$$|X(\mathbb{Z}/p^k\mathbb{Z})| = |X(\mathbb{F}_p)| p^{(k-1) \dim X_{\mathbb{Q}}} \implies \lim_{p \rightarrow \infty} \frac{|X(\mathbb{Z}/p^k\mathbb{Z})|}{p^{k \dim X_{\mathbb{Q}}}} = 1.$$

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Let $X = \text{Spec}(\mathbb{Z}[x]/(x^2))$, then $|X(\mathbb{Z}/p^{2k}\mathbb{Z})| = p^k$ but $\dim X_{\mathbb{Q}} = 0$.

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- 1 $X_{\mathbb{Q}}$ has rational singularities.

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Theorem (Aizenbud-Avni)

Let X be a finite type \mathbb{Z} -scheme such that $X_{\mathbb{Q}}$ is an absolutely irreducible variety which is a local complete intersection. Then TFAE:

- 1 $X_{\mathbb{Q}}$ has rational singularities.
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Proposition

Let $\varphi : X \rightarrow Y$ be a \mathbb{Z} -morphism with absolutely irreducible fibers. Then

$\varphi_{\mathbb{Q}}$ is (FRS) \Rightarrow for every k we have $\lim_{p \rightarrow \infty} \sup_{y \in Y(\mathbb{Z}/p^k\mathbb{Z})} \frac{|\varphi^{-1}_{\mathbb{Z}/p^k\mathbb{Z}}(y)|}{p^{k(\dim X - \dim Y)}} = 1$.

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- 2 Consider the family of random walks $R_{p,k} = \{(\mu_{p^k}, G(\mathbb{Z}/p^k\mathbb{Z}))\}_{p,k}$. The probability distribution of the n -th step of $R_{p,k}$ is as follows:

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 \iff n -th step of $R_{p,k}$ is uniformly close to the stationary distribution for $p \gg 0$.

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Let G be a semi-simple group and let $[,] : G \times G \rightarrow G$ be the commutator map $[g_1, g_2] = g_1 g_2 g_1^{-1} g_2^{-1}$. Then $[,]^{*21} : (G \times G)^{21} \rightarrow G$ is (FRS).

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Conjecture

Let G be a semi-simple group, then $[,] * [,] : (G \times G)^2 \rightarrow G$ is (FRS).

Proof of main theorem

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Let K be a field of characteristic 0. Recall we want to show the following:

Theorem (Glazer-H. 2018)

*Let X be a smooth, absolutely irreducible K -variety, G be an algebraic K -group and let $\varphi : X \rightarrow G$ be a dominant morphism. Then there exists $N \in \mathbb{N}$ such that for any $n > N$ the n -th convolution power φ^{*n} is (FRS).*

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Proposition

Assume a K -morphism $\psi : X^{*N} \rightarrow G$ is (FRS) at (x, \dots, x) for every $x \in X(\overline{K})$, then $\psi^{*2N} : X^{2N} \rightarrow G$ is (FRS).

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- 3 It is enough to show that for each $a \in \text{Spec}(A)(\overline{\mathbb{Q}})$ there exists $n_a \in \mathbb{N}$ such that $\varphi_a^{*n_a} : X_a^{n_a} \rightarrow G_a$ is (FRS); consider the collection

$$U_n := \{x \in X_A(\overline{\mathbb{Q}}) : \varphi_A^n \text{ is (FRS) at } (x, \dots, x)\}, \text{ then } \bigcup_{i=1}^{\infty} U_n = X_A(\overline{\mathbb{Q}}).$$

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- 4 Can assume K/\mathbb{Q} is a Galois extension.
- 5 Restrict scalars to get a \mathbb{Q} -morphism $\text{Res}_{\mathbb{Q}}^K(\varphi)$. Now, if the morphism $\text{Res}_{\mathbb{Q}}^K(\varphi)^N = \text{Res}_{\mathbb{Q}}^K(\varphi^N)$ is (FRS) then so is φ^N by noting the structure of $\text{Res}_{\mathbb{Q}}^K(\varphi^N) \times_{\text{Spec}(\mathbb{Q})} \text{Spec}(K)$.

Proof of main theorem: Step 2 - the analytic criterion

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Let $\varphi : X \rightarrow Y$ be a map between smooth varieties defined over a finitely generated field K of characteristic 0, and let $x \in X(K)$. Then TFAE:

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- 1 φ is (FRS) at x .
- 2 For any finite extension K'/K , there exists a non-Archimedean local field $F \supseteq K'$ and a non-negative Schwartz measure μ on $X(F)$ that does not vanish at x such that $(\varphi|_{X(F)})_*(\mu)$ has continuous density.

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Fact

Let $h : G(\mathbb{Z}_p) \rightarrow \mathbb{C}$ be a function. If the Fourier transform $\mathcal{F}(h)$ of h is absolutely integrable, then h is continuous.

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Question

Can we find a collection of measures $\{\mu_{\mathbb{Q}_p}\}_{p>M}$ as in the theorem and an integer N such that $\mathcal{F}(\varphi_*(\mu_{\mathbb{Q}_p})^{*N}) = \mathcal{F}(\varphi_*(\mu_{\mathbb{Q}_p}))^N$ is absolutely integrable for every p ?

Digression: motivic functions

Languages, formulas and theories

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 - 2 The language of ordered abelian groups $(+, -, \leq, 0)$.
- A structure of a language is a set which interprets this language.
 - A formula in the language \mathcal{L} is defined recursively using equalities and relation symbols in variables and constant symbols (and function symbols applied to these) and by using logical symbols (i.e. if η and χ are formulas then so are $\neg\eta$, $\forall x\eta$, $\eta \rightarrow \chi$, $\eta \wedge \chi$ etc.).

Languages, formulas and theories

- A language \mathcal{L} is a set consisting of all logical symbols (and, or, not, implies, iff, \exists , \forall , = and variables) and can have constant symbols, function symbols and relation symbols.

Example

- 1 The language of rings $(+, -, \cdot, 0, 1)$.
 - 2 The language of ordered abelian groups $(+, -, \leq, 0)$.
- A structure of a language is a set which interprets this language.
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- 5 $\forall x, y, z[(x \cdot y) \cdot z = x \cdot (y \cdot z)]$
- 6 $\forall x[x \cdot 1 = x]$
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The Denef-Pas language \mathcal{L}_{DP}

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$$X = \{(x, y, t, z, w, v) \in \text{VF}^3 \times \text{RF} \times \text{VG} : \eta_1(x, y, z, w) \wedge \eta_2(t)\}.$$

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Motivic functions

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$$h_F(x) = \sum_{i=1}^N |Y_{i,F,x}| q_F^{\alpha_{i,F}(x)} \left(\prod_{j=1}^{N'} \beta_{ij,F}(x) \right) \left(\prod_{l=1}^{N''} \frac{1}{1 - q_F^{a_{il}}} \right),$$

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- Every definable function $f : X \rightarrow \text{VG}$ is motivic.

Integration theorem for motivic functions

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$$\int_{\mathbb{Z}_p} |x|_p^k dx = \sum_{n=0}^{\infty} \frac{p-1}{p} p^{-n} p^{-nk} = \frac{p-1}{p} \frac{1}{1-p^{-(1+k)}}.$$

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The ring of motivic functions is preserved under integration.

Theorem (Cluckers-Loeser, Cluckers-Gordon-Halupczok)

Let X and Y be \mathcal{L}_{DP} -definable sets and let $f \in C(X \times Y)$ be a motivic function.

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Theorem (Cluckers-Loeser, Cluckers-Gordon-Halupczok)

Let X and Y be \mathcal{L}_{DP} -definable sets and let $f \in C(X \times Y)$ be a motivic function. Then there exists a function $g \in C(Y)$ and $M \in \mathbb{N}$ such that for every $F \in \text{Loc}_M$ we have

$$g_F(y) = \int_{X_F} f_F(x, y) dx$$

for every $y \in Y_F$ such that $f_F(x, y) \in L^1(X_F)$.

The theory $\mathcal{T}_{H,ac,0}$ and elimination of quantifiers

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Lemma

Let ϕ be a sentence in \mathcal{L}_{DP} . Assume that ϕ holds in all models of $\mathcal{T}_{H,ac,0}$. Then there exists an integer $M(\phi)$ such that ϕ holds in all non-Archimedean local fields with residue characteristic larger than $M(\phi)$.

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Theorem (Denef-Pas)

Let η be an \mathcal{L}_{DP} -formula. Then there exists an \mathcal{L}_{DP} -formula η' without quantifiers of the valued field sort and an integer M such that η and η' are equivalent for every non-Archimedean local field of residue characteristic larger than M .

proof of the main theorem: Step 3.5 - defining a motivic measure

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Back to our question:

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Can we find a collection of smooth measures $\{\mu_F\}_{F \in \text{Loc}_M}$ such that $\text{supp}(\mu_F) = X(O_F)$ for every $F \in \text{Loc}_M$ and $\mathcal{F}(\varphi_(\mu_F)^{*N}) = \mathcal{F}(\varphi_*(\mu_F))^N$ is absolutely integrable for some N (which does not depend on F)?*

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- Set $\mu_F := 1_{X(O_F)}$ and consider the collection $\mu = \{\mu_F\}_{F \in \text{Loc}_M}$.

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- The collection μ forms a motivic function.
- Since the ring of motivic functions is preserved under integration, the collection $\sigma = \{\varphi_*(\mu_F)\}_{F \in \text{Loc}_M}$ is motivic as well.

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Question

Can we find a collection of smooth measures $\{\mu_F\}_{F \in \text{Loc}_M}$ such that $\text{supp}(\mu_F) = X(O_F)$ for every $F \in \text{Loc}_M$ and $\mathcal{F}(\varphi_(\mu_F)^{*N}) = \mathcal{F}(\varphi_*(\mu_F))^N$ is absolutely integrable for some N (which does not depend on F)?*

- Set $\mu_F := 1_{X(O_F)}$ and consider the collection $\mu = \{\mu_F\}_{F \in \text{Loc}_M}$.
- The collection μ forms a motivic function.
- Since the ring of motivic functions is preserved under integration, the collection $\sigma = \{\varphi_*(\mu_F)\}_{F \in \text{Loc}_M}$ is motivic as well.

Claim

*Let $h \in C(G)$ be an absolutely integrable, compactly supported motivic function. Then there exists $N \in \mathbb{N}$ such that h_F^{*N} has continuous density for every $F \in \text{Loc}_M$.*

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$$|\mathcal{F}(h_F)(y)| < d(F) \min\{|y|^\alpha, 1\}$$

for every $F \in \text{Loc}_M$, where $d(F)$ depends only on F .

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Theorem ($L^1 \Rightarrow L^{1+\epsilon}$, Glazer-H. 2018)

Let X be a smooth algebraic variety, let μ be a motivic measure on X , and let h be a compactly supported motivic function on X such that $h_F \in L^1(X(F), \mu_F)$ for every $F \in \text{Loc}_M$.

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- Write $I_h(s, F) = \sum_{k \in \mathbb{Z}} a_k q_F^{-ks}$ where $a_k := \mu_F(\{x \in X(F) : \text{val}(h_F(x)) = k\})$.

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- Each a_k can be simplified, and $I_h(s, F)$ can be written as

$$q_F^{-n} \sum_{\eta \in k_F^l} \sum_{l_1, \dots, l_n, k \in \mathbb{Z}} q_F^{-ks - l_1 - \dots - l_n} \sigma(\eta, l_1, \dots, l_n, k)$$

where σ is an \mathcal{L}_{DP} -formula.

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- Using elimination of quantifiers and certain uniformization theorems, we can write the above expression as finitely many sums of the form

$$\sum_{(e_1, \dots, e_l) \in \mathbb{N}^l} p^{b_1(s)e_1 + \dots + b_l(s)e_l}$$

where $b_i(s)$ are simple functions.

Questions?