

(-1)

The essence of the prismatic theory is a prismatization functor
 $X \mapsto X^\Delta$, $\Delta = \Delta = \text{"prism"}$.

X is a ~~p-adic~~ p-adic scheme (for now, \mathbb{F}_p -scheme).

X^Δ is a p-adic stack with a "lift of Frobenius", i.e. the usual
 $F: X^\Delta \rightarrow X^\Delta$ ~~inducing~~ whose reduction mod p is F .
in this case

For now, X is over \mathbb{F}_p . We'll define X^Δ and prove that $X^\Delta = X^\square$
 In the definition of X^\square I always assume $(S, \bar{S}) = (\text{Spec } \mathbb{Z}_p, \text{Spec } \mathbb{F}_p)$ crystallization
 X^\square is ~~define~~ defined by Sasha. The definition of X^\square involves divided powers; it involves
 some choices (and a proof of independence of choices).

X^Δ involves Witt vectors and there are no choices in the definition.
 Instead, one directly defines $X^\Delta(B)$ for any B over $\mathbb{Z}/p^n\mathbb{Z}$ (for B over $\mathbb{Z}/p^n\mathbb{Z}$ if you want the
 to have a construction local w.r.t. X).

We ~~at~~ have already proved such a statement for $X = A_{\mathbb{F}_p}$:

$$(A_{\mathbb{F}_p}^\square)^\square = \text{Cone}(W \xrightarrow{p} W) \quad (\text{isomorphism of ring stacks}).$$

$$(A_{\mathbb{F}_p}^\square)^\square(B) = W(B) \otimes^L \mathbb{F}_p := \text{Cone}(W(B) \xrightarrow{p} W(B))$$

\uparrow
 ring groupoid. (Don't erase!)

Def. $X^\Delta(B) := \text{Hom}_{\mathbb{F}_p}(A, W(B) \otimes^L \mathbb{F}_p)$ (groupoid to be defined).

Believable: ~~have~~ lift of Frobenius $F: X^\Delta \rightarrow X^\Delta$ (comes from the
 Witt Frobenius). Believable: $\text{Hom}_{\mathbb{F}_p}(\mathbb{F}_p[x], W(B) \otimes^L \mathbb{F}_p) = W(B) \otimes^L \mathbb{F}_p$.

Thm. $X^\square = X^\Delta$. (Already know for $X = A_{\mathbb{F}_p}^\square, A = \mathbb{F}_p[x]$).
 (Don't erase!)

The definition of $\text{Hom}_{\mathbb{F}_p}(A, W(B) \otimes^L \mathbb{F}_p)$ will be ad hoc
 (without defining the 2-category of ring groupoids \mathcal{R} or
 the ∞ -category of derived rings).

Let A, C be rings, let $I \xrightarrow{d} C$ be a quasi-ideal
 (i.e., I is a C -module, d is C -linear, $dx \cdot y = d(y) \cdot x$ for $x, y \in I$).
Idea. Suppose $\text{Ker } d = 0$ (so I is an ideal), then $\text{Cone}(I \xrightarrow{d} C) = C/I$.

Given $A \rightarrow C/I$ get

$$\begin{array}{ccccccc}
 0 & \rightarrow & I & \rightarrow & \tilde{A} & \rightarrow & A \rightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow \\
 0 & \rightarrow & I & \rightarrow & C & \rightarrow & C/I \rightarrow 0
 \end{array}$$

(morphism of extensions)

Def: $\text{Hom}(A, \text{Cone}(I \xrightarrow{d} C))$ is the groupoid of commut. diagrams

$$\begin{array}{ccccccc}
 0 & \rightarrow & I & \xrightarrow{v} & \tilde{A} & \xrightarrow{f} & A \rightarrow 0 \\
 & & & & \downarrow f & & \\
 & & & & & & C
 \end{array} \quad (1)$$

Don't erase for a while!

where the upper row is a ring extension, f is a ring homomorphism, and the following (diagram) commutes:

$$\begin{array}{ccc}
 \tilde{A} & \xrightarrow{f} & C \\
 \downarrow & & \downarrow \\
 \text{End } I & & C/d(I)
 \end{array}$$

Because $I \subset \tilde{A}$ is an ideal \rightarrow \leftarrow because $I \xrightarrow{d} C$ is a quasi-ideal (2)

This is indeed a groupoid (rather than set) because \tilde{A} may have nontrivial automorphisms preserving v, f , and $\tilde{A} \xrightarrow{f} A$.

We have a map $\text{Hom}(A, \text{Cone}(I \xrightarrow{d} C)) \rightarrow \text{Hom}(A, C/d(I))$ (the map $\tilde{A} \xrightarrow{f} C \rightarrow C/d(I)$ factors through I).

Exercise. $\text{Ker } d = 0 \Rightarrow$ the above map is an isomorphism. In particular, the groupoid is a set (by the way, the latter is clear because if $\text{Ker } d = 0$ then $\tilde{A} \subset A \times C$).

Remark. If $\tilde{C} \xrightarrow{\text{the map}} \text{End } I$ is injective then (which often happens) then you don't have to specify f (there is at most one f , and it exists iff the ring structure on I as an ideal in \tilde{A} is the same as the ring structure on I as a quasi-ideal in C).

Remark. The notation f, v is not random but parallel to F, V :

$f \circ v = d$

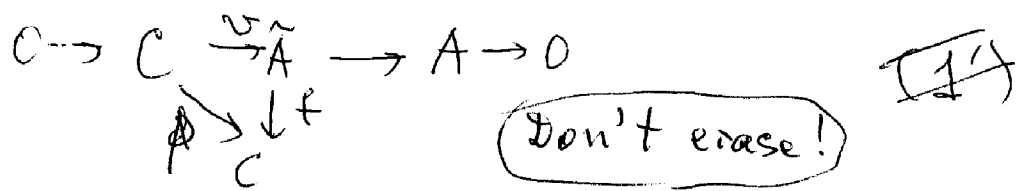
$F \circ V = p$

$\tilde{a} \cdot v(x) = v(f(\tilde{a})x)$
(commutativity of (2)).

$a \cdot Vx = V((Fa) \cdot x)$

for Witt vectors

Consider Let C be a ring, $C \overset{L}{\otimes} \mathbb{F}_p := \text{Cone}(C \xrightarrow{p} C)$, so $\text{Hom}(A, C \overset{L}{\otimes} \mathbb{F}_p)$ is the groupoid of diagrams



with above properties (commutativity, ~~the~~ upper row is a ring extensions, the two \tilde{A} -actions of C are the same),

Now suppose A is over \mathbb{F}_p , i.e., $p_A \in v(C)$. Let $p_{\tilde{A}} = v(c)$, then $pc = f(v(c)) = f(p_{\tilde{A}}) = p_c$. So we get a map

$$\text{Hom}(A, C \overset{L}{\otimes} \mathbb{F}_p) \rightarrow \underbrace{C'}_{\substack{\text{is} \\ \mathbb{1} \in C'}} := \{c \in C \mid pc = p_c\}, \quad \mathbb{1} \in C'$$

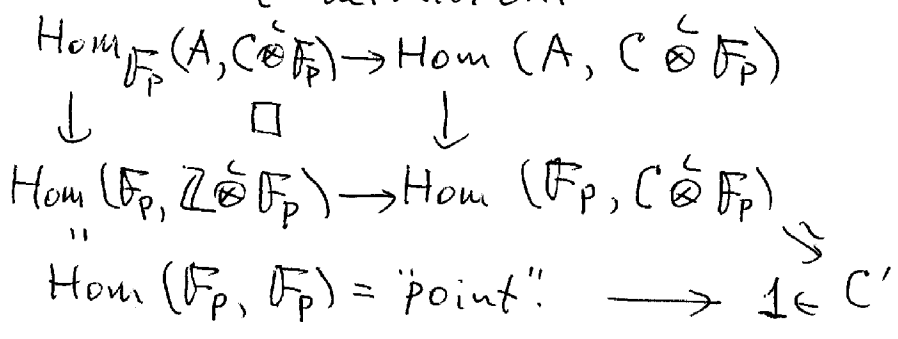
Defn $\text{Hom}_{\mathbb{F}_p}(A, C \overset{L}{\otimes} \mathbb{F}_p) \subset \text{Hom}(A, C \overset{L}{\otimes} \mathbb{F}_p)$ is the preimage of $\mathbb{1} \in C'$. Don't erase!

Thus we have the following extra requirement: ~~$v(1) = p_c$~~ $v(1) = p_{\tilde{A}}$.

Remark. If $\text{Ker}(C \xrightarrow{p} C) = 0$ then $\text{Hom}_{\mathbb{F}_p}(A, C \overset{L}{\otimes} \mathbb{F}_p) = \text{Hom}(A, C \overset{L}{\otimes} \mathbb{F}_p)$, as it should be: indeed, $C \overset{L}{\otimes} \mathbb{F}_p = C/pC$ is a usual ring (not a ring groupoid or derived ring), so $\text{Hom}_{\mathbb{F}_p}(A, C/pC) = \text{Hom}(A, C/pC)$.

Justifying the definition (more difficult than giving it).

"Scientific" definition:



Let $Y = \text{Spec } B$, $Y_0 = Y \otimes \mathbb{F}_p = \text{Spec } A$, where $A = B/pB$.

For safety, B is a $\mathbb{Z}_{(p)}$ -algebra.

Promise (of a construction).

\exists canonical morphism $Y \rightarrow Y_0^\Delta$, i.e., an object of the groupoid $Y_0^\Delta(B)$. Don't erase!

(I don't require p to be nilpotent in B ; however, one probably needs this to define X^Δ without assuming X affine.)

Remark. We do have $Y \rightarrow Y_0^\square$. Indeed, Y is a PD-thickening of Y_0 , and for any PD-thickening $Z \supset Y_0$ one has a canonical map $Z \rightarrow Y_0^\square$ (if Z is PD-smooth this is clear by the definition of Y_0 ; in general, embed $Z \hookrightarrow Z'$, where Z' is a PD-smooth PD-thickening of Y_0 , then check that the ~~map~~ ~~map~~ composed map $Z \rightarrow Z' \rightarrow Y_0^\square$ doesn't depend on the choice of Z').

Particular case $Y = Y_0$: get $Y_0 \rightarrow Y_0^\Delta$ for any $X \in \mathcal{Y}_0/\mathbb{F}_p$.

Construction.

$A = B/pB$, want an object of $\text{Hom}_{\mathbb{F}_p}(A, W(B) \otimes \mathbb{F}_p)$, i.e.,

a diagram

$$\begin{array}{ccccccc}
 0 & \rightarrow & W(B) & \xrightarrow{V} & \tilde{A} & \rightarrow & A \rightarrow 0 \\
 & & \downarrow P & & \downarrow F & & \\
 & & W(B) & & & &
 \end{array}
 \quad (1')$$

Don't erase!

with properties mentioned above, e.g., $V(1) = P_A$. the diagram

Particular case $A = B$, i.e., $P_B = 0$: let $\tilde{A} = W(B)$, let (1') be

$$\begin{array}{ccccccc}
 0 & \rightarrow & W(B) & \xrightarrow{V} & W(B) & \rightarrow & B \rightarrow 0 \\
 & & \downarrow P & & \downarrow F & & \\
 & & W(B) & & & &
 \end{array}$$

only because
B is over \mathbb{F}_p

Key point: $V(1) = P$ because B is over \mathbb{F}_p : $V(1) = VF(1)$
" $P = FV(1)$

General case: the problem is to descend $0 \rightarrow W(B) \xrightarrow{V} W(B) \rightarrow B \rightarrow 0$

to $0 \rightarrow W(B) \xrightarrow{v} \tilde{A} \rightarrow A \rightarrow 0$. Construction: $\tilde{A} := W(B)/I$,

$I = (p - V(1))$. Then ~~we have~~ $\text{Im}(I \rightarrow W(B)/VW(B) \rightarrow B) = pB$,

so we have $W(B) \xrightarrow{v} \tilde{A} \rightarrow A \rightarrow 0$. ^{Have $F(I)=0$, so f is defined.} ~~Injectivity~~ $\text{Ker } v \stackrel{?}{=} 0$.

$I \cap VW(B) \stackrel{?}{=} 0$. ~~So we~~ This amounts to the following

Lemma. Let $x \in W(B)$, $x(p - V(1)) \in VW(B)$. Then $x(p - V(1)) = 0$.

~~⊗~~ (This could be an exercise. ~~⊗~~ Hints: $p - V(1) \in \text{Ker}(W(\mathbb{Z}) \rightarrow W(\mathbb{F}_p))$, $F(p - V(1)) = 0$.)

Proof. $F(p - V(1)) = 0$, so $x \cdot (p - V(1))$ depends only on

$x \text{ mod } VW(B)$. So we can assume that $x = [B]$, $B \in B$.

We have $p - V(1) \in \text{Ker}(W(\mathbb{Z}) \rightarrow W(\mathbb{F}_p))$. Write

$$p - V(1) = \sum_i V^i [c_i], \quad c_i \in p\mathbb{Z}, \quad \text{then } [B](p - V(1)) = \sum_i V^i [B^{p^i} c_i].$$

The assumption $x(p - V(1)) \in VW(B)$ means that $pB = 0$. This implies that $B^{p^i} c_i = 0$ because $c_i \in p\mathbb{Z}$. ■

REMARK. The numbers c_i can be computed inductively from the equations $\sum_{n=0}^{\infty} c_n p^n = 0$ ^(for $n > 0$). So ~~$c_1 = -p$~~ $c_1 = -p^{p-1}$.

$$c_2 = -p^{p^2-2} + (-1)^{p-1} p^{p^2-p-1}.$$

Thm. $X^\Delta = X^\square$ for X/\mathbb{F}_p (X affine), Don't erase!

Know for $X = A^1_{\mathbb{F}_p}$. Follows for $X = (A^1_{\mathbb{F}_p})^S$. Source of pain: the functors don't quite commute with limits. $X = \text{Spec } A \Rightarrow X^\Delta(B) := \text{Hom}_{\mathbb{F}_p}(A, \mathcal{U}(B) \otimes_{\mathbb{F}_p})$, $B/\mathbb{Z}_{(p)}$

To define X^\square , choose $X \hookrightarrow Y$, Y formally smooth/ $\mathbb{Z}_{(p)}$. Let $H_{X \hookrightarrow Y} := \text{pt-hull of } X \text{ in } Y$ ($\mathcal{F}_u(p) = \frac{p^n}{n!}$). Then $X^\square := H_{X \hookrightarrow Y} / \Gamma$, where Γ is the groupoid with $\text{Nerve}(\Gamma) = H_{X \hookrightarrow Y}^{[n]}$. $X \hookrightarrow Y^{[n]}$, $[n] := \{0, 1, \dots, n\}$, so we have

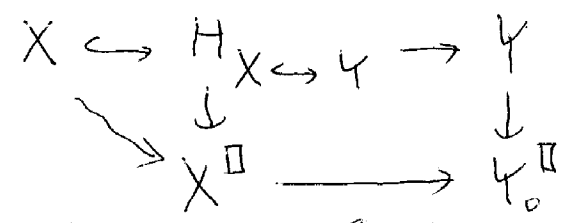
The simplicial scheme $H_{X \hookrightarrow Y}^{[n]}$ is the nerve of a flat groupoid Γ acting on $H_{X \hookrightarrow Y}$. $X^\square := H_{X \hookrightarrow Y} / \Gamma$ (quotient stack).

Corollaries (of the def. of X^\square). ① Have $H_{X \hookrightarrow Y} \rightarrow X^\square$

(clear if Y is formally smooth, the general case follows).

② Take $X = Y_0 := Y \otimes_{\mathbb{F}_p}$, then $H_{X \hookrightarrow Y} = Y$, so we get a canonical morphism $Y \rightarrow (Y_0)^\square$.

Thus, given $X \hookrightarrow Y$, get a commutative diagram

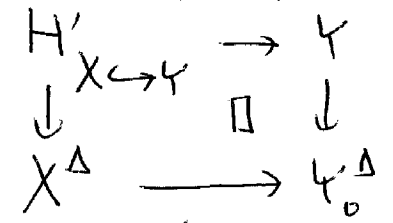


③ The square is Cartesian (easy if Y_0 is an affine space, the general case follows).

Strategy: Prove ①-③ for X^Δ instead of X^\square .

Last time: $Y \rightarrow (Y_0)^\Delta$. Let us construct ①, ③ for X^Δ .

Define $H'_{X \hookrightarrow Y}$ by the Cartesian square Don't erase.



Prop. $H'_{X \hookrightarrow Y} \cong H_{X \hookrightarrow Y}$ (functorial in $X \hookrightarrow Y$).

($H_{X \hookrightarrow Y} := \text{pt-hull of } X \text{ in } Y$).

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Proof of the Theorem assuming the Proposition.

The Proposition allows us to not distinguish H' from H . So for any $X \hookrightarrow Y$, $Y/\mathbb{Z}(p)$, we have $H_{X \hookrightarrow Y}$ and the map $H_{X \hookrightarrow Y} \rightarrow X^\Delta$ (so $H_{X \hookrightarrow Y}$ is over X^Δ). By functoriality, we have a simplicial scheme $H_{X \hookrightarrow Y[n]}$ over X^Δ . So $\forall n$ we have $f_n: H_{X \hookrightarrow Y[n]} \rightarrow H_{X \hookrightarrow Y} \times_{X^\Delta} H_{X \hookrightarrow Y} \times_{X^\Delta} \dots \times_{X^\Delta} H_{X \hookrightarrow Y}$.

Lemma. Suppose $Y = (A_{\mathbb{Z}(p)}^\Delta)^S$. Then

- (i) $\pi: H_{X \hookrightarrow Y} \rightarrow X^\Delta$ is faithfully flat,
- (ii) f_n is an isomorphism $\forall n$.

Deducing the Theorem from the Lemma:

General remark. Let S be a scheme, Y a stack, $\pi: S \rightarrow Y$ a morphism.

consider the simplicial scheme $S \rightrightarrows S \times_Y S \rightrightarrows S \times_Y S \times_Y S \rightrightarrows \dots$. It is the nerve of a groupoid acting on S . denote it by Γ_π . If π is flat then Γ_π is flat; if π is faithfully flat then $S/\Gamma_\pi \cong Y$. (End of the remark!)

By (i) and the remark, $X^\Delta = H_{X \hookrightarrow Y} / \Gamma_\pi$, where $\pi: H_{X \hookrightarrow Y} \rightarrow X^\Delta$.

By definition, $X_0^\square = H_{X \hookrightarrow Y} / \Gamma'$, where $\text{Nerve}(\Gamma') = H_{X \hookrightarrow Y[n]}$.

By (ii), $\Gamma' \cong \Gamma_\pi$. Reduction to the case $X=Y_0$:

Proof of the lemma. $H_{X \hookrightarrow Y[n]} = H_{Y_0 \hookrightarrow Y[n]} \times_{Y_0^\Delta} X^\Delta$, so we can assume that $X=Y_0$. Then $Y_0^\Delta = Y_0^\square$, and the lemma is clear from the definition of Y_0^\square .

Remarks for myself. (1) The lemma holds if Y is formally smooth over $\mathbb{Z}(p)$ (not ~~no~~ which is weaker than being an affine space).

(2) Statement (ii) of the lemma implies that the simplicial scheme $H_{X \hookrightarrow Y[n]}$ is the nerve of a groupoid. So the formal smoothness assumption is probably necessary even for statement (ii).

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Proof of the Proposition (which says that $H_{X \leftrightarrow Y} = H'_{X \leftrightarrow Y}$).

Have $Y \supset Y_0 \supset X$
 " " " " " "
 Spec C Spec A

$$0 \rightarrow I \rightarrow C \rightarrow A \rightarrow 0$$

ψ
 \downarrow
 p

← over \mathbb{Z}_p

Let B be a ring. We have $H(X, Y) \rightarrow Y \leftarrow H'(X, Y)$ and therefore
 $H(B) \rightarrow \text{Hom}(C, B) \leftarrow H'(B)$. Let $\alpha \in \text{Hom}(C, B)$. Consider the fibers
 $H_\alpha(B) \subset H(B)$, $H'_\alpha(B) \subset H'(B)$ (these are sets which turn out to be sets which are groupoids).

Claim. $H_\alpha(B) = H''_\alpha(B) = H'_\alpha(B)$, where $\#$

$H''_\alpha(B) :=$ set of C -linear maps $\mathcal{F}: I \rightarrow W^{(F)}(B)$ s.t. $\mathcal{F}(p) = p \cdot V(1)$

and $\begin{array}{ccc} \text{the diagram} & & \\ \downarrow & \mathcal{F} & \downarrow \\ I & \rightarrow & W^{(F)}(B) \\ \cap & & \downarrow \\ C & \xrightarrow{\alpha} & B \end{array}$

(*)

Note: $p \cdot V(1) \in W^{(F)}(B)$
 because $\mathcal{F}(p \cdot V(1)) = \mathcal{F}(p) \cdot \mathcal{F}(V(1)) = \mathcal{F}(p) - \mathcal{F}(p) = 0$.

commutes, $C \xrightarrow{\alpha} B$

Proof of $H_\alpha(B) = H''_\alpha(B)$ (more interesting; no W in the definition of PG-hull).

(Hint: the notation \mathcal{F} is related to the divided powers \mathcal{F}_i)

By the construction (rather than definition) of PG hull, an element of $H_\alpha(B)$ is a collection of $\mathcal{F}_n: I \rightarrow B, n \geq 0$, s.t.

1) $\mathcal{F}_n(x+y) = \sum_{i=0}^n \mathcal{F}_i(x) \mathcal{F}_{n-i}(y)$, 2) $\mathcal{F}_n(cx) = c^n \mathcal{F}_n(x), c \in C$.

3) $\mathcal{F}_n(p) = \frac{p^n}{n!}$, 4) $\mathcal{F}_0(x) = 1, \mathcal{F}_1 = \alpha|_I$, 5) $\mathcal{F}_m(x) \cdot \mathcal{F}_n(x) = \binom{m+n}{m} \mathcal{F}_{m+n}(x)$

Consider the generating function $\mathcal{F}(x) := \sum_i \mathcal{F}_i(x) t^i \in B[[t]]^*$.

Have $\mathcal{F}: I \rightarrow \text{Ker}(B[[t]]^* \rightarrow B^*)$

\downarrow
 $W^{(F)}(B) \subset W(B)$

\downarrow
 $\text{Hom}(\hat{G}_a, G_m)$

$\mathcal{F}(I) \subset W^{(F)}(B)$ by 5)

\mathcal{F} is C -linear by 1)-2)

Diagram (*) commutes by 4)

By 3), $\mathcal{F}(p) = e^{pt} \in B[[t]]^*$, and

$p \cdot V(1) \in W^{(F)}(\mathbb{Z})$ corresponds to $e^{pt} \in \mathbb{Z}[[t]]^*$ (!) because the ghost components of $p \cdot V(1)$ are $(p, 0, 0, \dots)$.

Proof of the equality $H'_\alpha(B) = H''_\alpha(B)$ (skipped during the talk)

$$\begin{array}{ccc}
 H'_\alpha: X \leftrightarrow Y & \rightarrow & Y = \text{Spec } C \\
 \downarrow & \square & \downarrow \\
 X^\Delta & \rightarrow & Y_0^\Delta \\
 \text{"} & & \text{"} \\
 (\text{Spec } A)^\Delta & & (\text{Spec } (C/pC))^\Delta
 \end{array}$$

$$\begin{array}{l}
 \alpha \in \text{Hom}(C, B) = Y(B) \\
 \downarrow \\
 \beta \in Y_0^\Delta(B) \\
 H'_\alpha(B) := \text{preimage of } \beta \text{ in } X^\Delta(B)
 \end{array}$$

Think of $\beta \in Y_0^\Delta(B) = (\text{Spec } C/pC)^\Delta(B) = \text{Hom}(\text{Spec } B, (\text{Spec } C/pC)^\Delta)$ as the composed map $\text{Spec } B \rightarrow (\text{Spec } B/pB)^\Delta \rightarrow \text{Spec } C/pC$ (rather than $\text{Spec } B \rightarrow \text{Spec } C \rightarrow (\text{Spec } C/pC)^\Delta$). Then we see that β corresponds to the diagram

$$\begin{array}{ccccccc}
 0 & \rightarrow & W(B) & \xrightarrow{V} & R & \rightarrow & C/pC \rightarrow 0 \\
 & & & & \downarrow F & & \\
 & & & & W(B) & &
 \end{array}$$

where $R := (W(B)/(p-V(1))) \times_{B/pB} C/pC$. Recall that $X = \text{Spec } A = \text{Spec } (C/I)$, where $I \ni p$. So $H'_\alpha(B)$ is the set of C -module splittings $I/pC \rightarrow R$ whose image is contained in $\text{Ker}(R \xrightarrow{F} W(B))$.

A splitting $I/pC \rightarrow R$ is the same as a lift of the map $I/pC \hookrightarrow C/pC \xrightarrow{\alpha} B/pB$ to $\tilde{\gamma}: I/pC \rightarrow W(B)/(p-V(1))$. Since the square

$$\begin{array}{ccc}
 W(B) & \xrightarrow{\alpha} & B \\
 \downarrow & & \downarrow \\
 W(B)/(p-V(1)) & \xrightarrow{\alpha} & B/pB
 \end{array}$$

is Cartesian, this is the same as a lift of the map $I \hookrightarrow C \xrightarrow{\alpha} B$ to $\tilde{\gamma}: I \rightarrow W(B)$ such that $\tilde{\gamma}(p) = p - V(1)$.

We want $\tilde{\gamma}(I) \subset W^{(F)}(B)$ because we want the composed map $I/pC \rightarrow R \xrightarrow{F} W(B)$ to be zero.

Thus $H'_\alpha(B)$ is the set of C -module homomorphisms $\tilde{\gamma}: I \rightarrow W^{(F)}(B)$ such that $\tilde{\gamma}(p) = p - V(1)$ and the composed maps $I \xrightarrow{\tilde{\gamma}} W^{(F)}(B) \rightarrow B$ and $I \hookrightarrow C \xrightarrow{\alpha} B$ are equal to each other.