

**Smooth Ergodic Theory II – Rigidity and Geometry. Homework Exercises.**

**Exercise 1.** Let  $M$  be a Riemannian manifold with metric  $\langle \cdot, \cdot \rangle$  and Levi-Civita connection  $\nabla$ . Fix the standard identification of  $TTM$  with  $\otimes^3 TM$ :

$$[\beta] \rightarrow (\beta(0), (\pi \circ \beta)'(0), \nabla_{(\pi \circ \beta)'} \beta(0)) \in (T_{\pi \circ \beta(0)} M)^3.$$

Prove the following.

- (1) If  $\theta$  is the canonical 1-form on the cotangent bundle  $T^*M$ , and  $I : TM \rightarrow T^*M$  is the isomorphism  $I(v) = \langle \cdot, v \rangle$ , then  $I^*\theta = \alpha$ , where

$$\alpha(u, v, w) = \langle u, v \rangle.$$

- (2) if  $\xi_i = (u, v_i, w_i)$ ,  $i = 1, 2$ , then

$$d\alpha(\xi_1, \xi_2) = \langle v_2, w_1 \rangle - \langle v_1, w_2 \rangle.$$

(up to a sign).

- (3) The vector field  $\dot{\varphi}$  on  $TM$  defined by

$$\dot{\varphi}(u) = (u, u, 0)$$

generates the geodesic flow.

- (4) The restriction of the geodesic flow  $\varphi$  to the unit tangent bundle  $T^1M$  preserves the restriction of  $\alpha$  to  $T(T^1M)$ .

- (5)  $\alpha$  is a contact 1-form on  $T^1M$ .

**Exercise 2.** Assume  $M$  is compact without conjugate points, and for  $v \in T^1\tilde{M}$ , define the (positive and negative) Busemann functions

$$b_v^+, b_v^- : \tilde{M} \rightarrow \mathbb{R}$$

by

$$b_v^+(p) = \lim_{t \rightarrow \infty} d(\gamma_v(t), p) - t.$$

and

$$b_v^-(v) = \lim_{t \rightarrow \infty} d(\gamma_v(-t), p) - t.$$

Prove the following:

- (1) The limits above exist, and for every  $v \in T^1\tilde{M}$ , the functions  $b_v^\pm$  satisfy:
- (a)  $b_v^\pm(\pi(v)) = 0$ ;
  - (b)  $|b_v^\pm(p) - b_v^\pm(q)| \leq d(p, q)$ , for all  $p, q \in \tilde{M}$ .
- (2)  $b_{\varphi_t(v)}^\pm = b_v^\pm - t$ .

**Exercise 3.** The Poincaré disk (or hyperbolic disk) is the domain  $\mathbb{D} = \{z : |z| < 1\}$  with the metric

$$ds^2 = \frac{4|dz|^2}{(1 - |z|^2)^2}.$$

The group of orientation-preserving isometries of  $\mathbb{D}$  is

$$\left\{ \begin{pmatrix} \alpha & \beta \\ \bar{\beta} & \bar{\alpha} \end{pmatrix} : |\alpha|^2 - |\beta|^2 \neq 0 \right\},$$

which acts by Möbius transformations:

$$\begin{pmatrix} \alpha & \beta \\ \bar{\beta} & \bar{\alpha} \end{pmatrix} : z \mapsto \frac{\alpha z + \beta}{\bar{\beta} z + \bar{\alpha}}.$$

The hyperbolic disk is isometric via a Möbius transformation to the upper-half plane  $\mathbb{H} = \text{Im}(z) > 0$  with the metric

$$ds^2 = \frac{|dz|^2}{(\text{Im}z)^2}.$$

The isometry group of  $\mathbb{H}$  is

$$\text{PSL}(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : ad - bc = 1 \right\} / \{\pm I\},$$

also acting by Möbius transformations. The curvature of  $\mathbb{H}$  is constant, equal to  $-1$ . We will refer to the  $\mathbb{D}$  and  $\mathbb{H}$  models interchangeably.

Hyperbolic geodesics in  $\mathbb{D}$  are Euclidean circular arcs, perpendicular to  $\partial\mathbb{D} = \{|z| = 1\}$ . In  $\mathbb{H}$ , hyperbolic geodesics in  $\mathbb{H}$  are Euclidean (semi) circular arcs, perpendicular to  $\text{Im}(z) = 0$  (where lines are Euclidean circles with infinite radius).

The stabilizer of a point under this left action is the compact subgroup  $K = \text{SO}(2)/\{\pm I\}$ , which gives an identification of  $\mathbb{H}$  with the coset space of  $K$ :

$$\mathbb{H} = \text{PSL}(2, \mathbb{R})/K.$$

The derivative action of  $\text{PSL}(2, \mathbb{R})$  on the unit tangent bundle  $T^1\mathbb{H}$  is free and transitive, and gives an analytic identification between  $T^1\mathbb{H}$  and  $\text{PSL}(2, \mathbb{R})$ . The action of  $\text{PSL}(2, \mathbb{R})$  on  $T^1\mathbb{H}$  by isometries corresponds to left multiplication in  $\text{PSL}(2, \mathbb{R})$ .

If  $S$  is a closed orientable surface with  $\tilde{S} = \mathbb{H}$ , then  $\pi_1(S)$  acts by isometries on  $\mathbb{H}$  and hence embeds as a discrete subgroup  $\Gamma < \text{PSL}(2, \mathbb{R})$ . We thus obtain the following identifications:

$$S = \Gamma \backslash \mathbb{H} = \Gamma \backslash \text{PSL}(2, \mathbb{R})/K,$$

and

$$T^1S = \Gamma \backslash \text{PSL}(2, \mathbb{R}).$$

Endowing  $\text{PSL}(2, \mathbb{R})$  with a suitable left-invariant metric gives an isometry between  $\text{PSL}(2, \mathbb{R})/K$  and  $\mathbb{H}$ . This metric on  $\text{PSL}(2, \mathbb{R})$  also induces a metric on  $T^1\mathbb{H}$ , called the Sasaki metric (see the next section). In this metric, the lifts of geodesics in  $\mathbb{H}$  via  $\gamma \mapsto \dot{\gamma}$  gives Sasaki geodesics in  $T^1\mathbb{H}$  (there are other Sasaki geodesics that do not project to geodesics in  $\mathbb{H}$  but project to curves of constant geodesic curvature: for example, the orbits of the  $\text{SO}(2)$  subgroup.)

If you have never done so before, verify these assertions about hyperbolic space. Useful fact: the curvature of a conformal metric  $ds^2 = h(z)^2|dz|^2$

(where  $h$  is real-valued and positive) on a planar domain is given by the formula:

$$k = -\frac{\Delta \log h}{h^2},$$

where  $\Delta$  is the Euclidean Laplacian.

To verify the assertion about geodesics, it suffices to show that the curve  $t \mapsto ie^t$  is a geodesic in  $\mathbb{H}$  and then apply isometries. (note that this vertical ray in  $\mathbb{H}$  is fixed pointwise by the (orientation-reversing) hyperbolic isometry  $z \mapsto -\bar{z}$ ...). One can also find a formula for hyperbolic distance using this method.

To identify  $T^1\mathbb{H}$  with  $\mathrm{PSL}(2, \mathbb{R})$ , start by identifying the unit vertical tangent vector based at  $i$  with the identity matrix. It is helpful to understand the orbit of this vector under one-parameter subgroups that together generate  $\mathrm{PSL}(2, \mathbb{R})$ , for example, the groups in the Iwasawa (KAN) decomposition.

**Exercise 4.** An additional symmetry of the geodesic flow is flip invariance:

$$\varphi_{-t}(-v) = -\varphi_t(v).$$

Another way to state this is that  $\varphi_t$  is conjugate to the reverse time flow  $\varphi_{-t}$  via the involution on  $I: T^1\tilde{S} \rightarrow T^1S$  defined by:

$$I(v) = -v.$$

Verify this.

**Exercise 5.** Show that on  $T^1\mathbb{H} = \mathrm{PSL}(2, \mathbb{R})$ , the geodesic flow is given by right multiplication by the 1-parameter subgroup:

$$A = \left\{ a_t := \begin{pmatrix} e^{t/2} & 0 \\ 0 & e^{-t/2} \end{pmatrix} : t \in \mathbb{R} \right\}.$$

**Exercise 6.** Verify that the geodesic flow is Anosov in the case of the hyperbolic plane. Recall from previous exercises that  $T^1\mathbb{H} = \mathrm{PSL}(2, \mathbb{R})$ , with the Sasaki/left-invariant metrics, and the geodesic flow acts by right multiplication by the one-parameter subgroup  $A$ .

Verify that the horocycle foliations  $\mathcal{H}^\pm$  are the foliations by cosets of the horocyclic subgroups  $P^\pm$  (fun fact: the leaves of these foliations are Sasaki geodesics in  $T^1\mathbb{H}$ ). The commutators  $[a_t, h_s^\pm]$  are relevant. If you prefer, work on the level of the Lie algebra.