

Hidden symmetries and arithmetic manifolds

Benson Farb and Shmuel Weinberger *

Dedicated to the memory of Robert Brooks

May 9, 2004

1 Introduction

Let M be a closed, locally symmetric Riemannian manifold of nonpositive curvature with no local torus factors; for example take M to be a hyperbolic manifold. Equivalently, $M = K \backslash G / \Gamma$ where G is a semisimple Lie group and Γ is a cocompact lattice in G . For simplicity, we will always assume that Γ is *irreducible*, or equivalently that M is not finitely covered by a smooth product; we will also assume for simplicity that $\dim(M) > 2$.

Let g_{loc} denote a locally symmetric metric on M . The Mostow Rigidity Theorem states that g_{loc} is unique up to homothety of the direct factors of \widetilde{M} ¹. As the following result (essentially due to Borel) shows, the metric g_{loc} is also special because it reflects every symmetry of every other Riemannian metric on M .

Proposition 1.1 (Most symmetry). *Let M be a closed, irreducible, locally symmetric Riemannian n -manifold, $n > 2$, of nonpositive curvature with no local torus factors. Then for every Riemannian metric h on M , the group $\text{Isom}(M, h)$ is isomorphic to a subgroup of $\text{Isom}(M, g_{loc})$.*

Remarks.

1. By an old result of Bochner, the group $\text{Isom}(M, g_{loc})$ is finite.

*Both authors are supported in part by the NSF.

¹We will henceforth abuse notation and call g_{loc} the “unique locally symmetric metric on M ”.

2. It is *not* true in general that $\text{Isom}(M, h)$ is topologically conjugate to a subgroup of $\text{Isom}(M, g_{loc})$: there are examples of hyperbolic n -manifolds, $n > 4$, with periodic diffeomorphisms not topologically conjugate into $\text{Isom}(M, g_{loc})$; we give examples in §4.1. By averaging, one sees that these diffeomorphisms preserve some Riemannian metric.
3. We conjecture that Proposition 1.1 remains true when M has finite volume, and the metric h is any finite volume Riemannian metric. However, we are only able to prove this when M admits a locally symmetric metric of negative curvature.

In §2 below we give three proofs of Proposition 1.1: one using coarse geometry and Smith theory, one using harmonic maps, and one (essentially due to Borel) using algebraic topology. These proofs are meant as a warm-up for the proof of our main theorem (Theorem 1.4 below); they are also meant as an introduction to some of the ideas in [FW], where we will make use of the interplay among all three proofs.

We remark that both the harmonic maps proof and the algebraic-topological proof apply *mutatis mutandis* to any aspherical manifold with a degree one map to M that is an isomorphism on fundamental group. We also remark that each of the proofs applies to give a slightly modified version of Proposition 1.1 in dimension 2.

Hidden symmetries. Does the property of g_{loc} given in Proposition 1.1 characterize the locally symmetric metric up to homothety? The answer is obviously no: since $\text{Isom}(M, g_{loc})$ is finite, it is easy to equivariantly perturb the metric (say in the neighborhood of a single free orbit, for simplicity) to give a non-isometric manifold with the same isometry group. Indeed, there is an infinite-dimensions worth of such metrics.

The main discovery of this paper is that we can actually detect g_{loc} exactly by looking at the isometry groups of finite-sheeted covers of M . To make this more precise, we will need the following.

Definition 1.2 (Hidden symmetry). Let M be a finite volume Riemannian manifold. A *hidden symmetry* of M is an isometry ϕ of a d -sheeted,

$1 < d < \infty$, Riemannian cover of M with the property that ϕ is not the lift of an isometry.

So, for example, the deck transformations on any finite cover of M , which are just lifts of the identity, are not hidden symmetries of M .

To find examples of locally symmetric manifolds with a lot of hidden symmetry, we must consider *arithmetic manifolds*. These are manifolds $M = \Gamma \backslash G / K$ with G semisimple, $K \subset G$ a maximal compact, and Γ an arithmetic group. Borel showed that these exist in abundance in every semisimple Lie group G , and Margulis's Arithmeticity Theorem (see, e.g., [Ma]) states that if $\text{Rank}_{\mathbf{R}}(G) > 1$ and if Γ is irreducible, then every such M is arithmetic.

Recall that the *commensurator* of a lattice Γ in a Lie group G is defined to be

$$\text{Comm}_G(\Gamma) := \{g \in G : g\Gamma g^{-1} \cap \Gamma \text{ has finite index in both } g\Gamma g^{-1} \text{ and } \Gamma\}$$

Borel and Harish-Chandra proved that arithmetic groups have infinite index in their commensurators. Using this we will prove the following.

Proposition 1.3 (Arithmetic metrics have hidden symmetry). *Let M be a closed, arithmetic manifold. Then (M, g_{loc}) has infinitely many hidden symmetries; these can be taken to occur on irregular covers.*

We remark that, while every lift to $\widetilde{M} = G/K$ of a hidden symmetry lies in the commensurator $\text{Comm}_G(\Gamma)$, the set of hidden symmetries is a rather small subset of $\text{Comm}_G(\Gamma)$; see §3.1 below. Margulis proved that irreducible, arithmetic lattices in semisimple Lie groups are precisely those irreducible lattices that have infinite index in their commensurator (see [Ma]). The following theorem can be viewed as a generalization of his result.

Theorem 1.4 (Characterizing the arithmetic metric). *Let M be an arithmetic manifold. Then g_{loc} is the unique metric (up to homothety) on M having infinitely many hidden symmetries. Conversely, if $M = \Gamma \backslash G / K$ with G semisimple and Γ an irreducible, cocompact non-arithmetic lattice, then M has finitely many hidden symmetries in every metric, and there are uncountably many homothety classes of metrics on M which have the maximal number of hidden symmetries.*

Theorem 1.4 characterizes the locally symmetric metric via a countable amount of data, namely the (finite) isometry groups of the finite sheeted covers of M . In the special case when (M, h) is nonpositively curved, then Theorem 1.4 is a consequence of theorems of Eberlein [Eb] and Margulis (see below). A different approach from ours to proving Theorem 1.4 might be to use Furman’s main theorem in [Fu] classifying which locally-compact topological groups contain a lattice in a semisimple Lie group as a lattice.

In [FW] we will prove the following much stronger theorem:

Theorem 1.5 (Characterization of arithmetic manifolds). *Let (M, h) be any closed, aspherical Riemannian manifold. Suppose that $\pi_1(M)$ contains no normal infinite abelian subgroup, and that M is “irreducible” in the sense that no finite cover of M is a smooth product. If M has an infinitely many hidden symmetries, then (M, h) is isometric to an arithmetic manifold.*

Remarks.

1. Each of the hypotheses in Theorem 1.5 is necessary: every irreducible lattice in a semisimple Lie group is not virtually a product, and does not contain any normal infinite abelian subgroup.
2. Note that Theorem 1.4 above requires the assumption that the fundamental group of M is arithmetic; we make no such a priori assumption in Theorem 1.5.
3. In [FW] we give a similar characterization of (not necessarily arithmetic) locally symmetric manifolds in terms of symmetries that potentially remain hidden until one gets to the universal cover.
4. The special role of normal abelian subgroups can be seen by considering, for example, any 3-dimensional solvmanifold M ; this is a manifold whose universal cover is the unique 1-connected non-nilpotent, solvable Lie group Sol. Every such M has an infinite number of hidden symmetries, but M admits many non-locally homogenous metrics that have all of these symmetries; indeed any \mathbf{R}^2 -invariant perturbation of the

Sol-metric has all of these symmetries. Similar examples can be constructed on torus bundles that are very far from locally homogenous metrics.

5. Theorem 1.5 has an extension to all closed, aspherical Riemannian manifolds; in particular, normal abelian subgroups are allowed. See [FW].

Theorem 1.4 can be extended to non-aspherical manifolds; in the end it is really a theorem about closed manifolds whose fundamental group is arithmetic. For a lattice Δ , we say that a manifold M has Δ -*fundamental hidden symmetries* if there is a homomorphism $\pi_1(M) \longrightarrow \Delta$ and hidden symmetries of M that are intertwined with hidden symmetries of Δ . When $\Delta = \pi_1(M)$ then we call these fundamental hidden symmetries.

Theorem 1.6 (Hidden symmetry theorem, nonaspherical case). *Let Δ be a lattice in a semisimple Lie group G with maximal compact subgroup K . If a closed manifold M has infinitely many Δ -fundamental hidden symmetries, then M is a Riemannian fibration over the arithmetic manifold $\Delta \backslash G/K$.*

In §4.2 we discuss a “near counterexample” to Theorem 1.5 and Theorem 1.6, namely a closed, aspherical manifold with infinitely many “topological hidden symmetries”. We also state in §4.2 a conjecture on how metrics with greater and greater hidden symmetry must degenerate.

It is a pleasure to thank David Fisher and Kevin Whyte for their useful comments.

2 Three proofs of Proposition 1.1

Each element $\phi \in \text{Isom}(M, h)$ induces an automorphism of $\pi_1(M)$, which (since ϕ may move a given basepoint) is only defined up to conjugacy, thus giving a map $\psi : \text{Isom}(M, h) \longrightarrow \text{Out}(\pi_1(M))$. Mostow Rigidity states that, under the hypothesis of Proposition 1.1, any isomorphism between fundamental groups of two locally symmetric manifolds is induced by a unique

isometry. We then have

$$\text{Isom}(M, h) \xrightarrow{\psi} \text{Out}(\pi_1 M) \rightarrow \text{Isom}(M, g_{loc})$$

with the last map an isomorphism by Mostow Rigidity. Hence to prove Proposition 1.1, it is enough to prove that ψ is injective.

Suppose $\ker(\psi) \neq 0$. We claim there exists $\phi \in \ker(\psi)$ with order some prime $p > 1$. To prove this, first note that $\text{Isom}(M, h)$ is a compact (since M is compact) Lie group, by the classical Steenrod-Myers Theorem. Any element of the connected component $\text{Isom}^0(M, h)$ of the identity of $\text{Isom}(M, h)$ is homotopically trivial, and so lies in $\ker(\psi)$. Now every nontrivial, connected, compact Lie group contains a circle, and so contains elements of any prime order. If $\text{Isom}^0(M, h)$ is trivial, then $\text{Isom}(M, h) \supseteq \ker(\psi)$ is a finite group, and so contains an element g of order some prime $p > 1$, and the claim is proved.

So, we have an element $g \in \text{Isom}(M, h)$ of order $p > 1$, with p prime, so that g is homotopically trivial. We now give three different proofs that $g = 1$, proving that ψ must indeed be injective.

2.1 Smith theory proof

Let $F = \langle g \rangle$, and consider the group Λ of lifts of every element of F to \widetilde{M} . There is an exact sequence

$$1 \longrightarrow \pi_1(M) \longrightarrow \Lambda \longrightarrow F \longrightarrow 1 \quad (2.1)$$

with the kernel acting on \widetilde{M} by deck transformations. The fact that g is homotopically trivial gives that the action $F \longrightarrow \text{Out}(\pi_1(M))$ is trivial. Further, since M is locally symmetric, nonpositively curved, and has no local torus factors, it follows that the center $Z(\pi_1(M)) = 0$, so in particular $H^2(G, Z(\pi_1(M))) = 0$. These two facts imply that the exact sequence (2.1) splits, so that the F -action on M lifts to an action by isometries on (\widetilde{M}, h) , where by abuse of notation h denotes the lift to \widetilde{M} of the metric h on M .

Now, the lift of any two metrics on a compact manifold are quasi-isometric (Effremovich-Milnor-Svarc), so the lift of each element of F induces a quasi-isometry of \widetilde{M} endowed with the metric g_{loc} . But each element of F is

homotopically trivial on M , and since homotopies are compact, there exists $D > 0$ so that

$$d(x, f(x)) \leq D \text{ for all } f \in F, x \in \widetilde{M}$$

where d is the distance on $X := (\widetilde{M}, g_{loc})$.

Now X has a compactification $\overline{X} = X \cup \partial X$ by Hausdorff equivalence classes of geodesic rays. In this compactification, \overline{X} is homeomorphic to a closed n -ball, $n = \dim(M)$, and each isometry of X extends to a homeomorphism of this ball. Since each $f \in F$ moves points by at most D , and since ∂X is defined by Hausdorff equivalence classes of rays, it follows that the homeomorphic action of any $f \in F$ on \overline{X} restricts to the identity on ∂X .

Now, since $f = g$ has prime order p , we may apply Smith Theory to the action of f on the homology n -ball $(\overline{X}, \partial X)$, where $n = \dim(M)$. This gives that the pair $(\text{Fix}(f), \text{Fix}(f|_{\partial X}))$ is a mod- p homology r -ball for some $0 \leq r \leq n$. Since f is the identity on ∂X , in other words since $\text{Fix}(f|_{\partial X}) = \partial X$, it must be that $r = n$. Further, if $\text{Fix}(f) \cap X \neq X$, then radial projection away from a point $z \notin \text{Fix}(f) \cap X$ would give a homotopy equivalence of $(\text{Fix}(f), \text{Fix}(f|_{\partial X}))$ with $(\partial X, \partial X)$, contradicting that the former is a mod- p homology n -disk with $n > 1$. Hence $\text{Fix}(f) \cap X = X$, so that f is trivial on X , and hence on M . \diamond

2.2 Harmonic maps proof

We recall that a map $f : N \rightarrow M$ between Riemannian manifolds is *harmonic* if it minimizes the energy functional

$$E(f) = \int_N \|Df_x\|^2 d\text{vol}_N$$

The key properties of harmonic maps between closed Riemannian manifolds which we will need are the following (see, e.g. [SY], which is indeed the inspiration for this proof):

- (Eels-Sampson) When the target manifold has nonpositive sectional curvatures, a harmonic map exists in each homotopy class.

- (Hartman) The image of any two harmonic maps in a given homotopy class are the boundary of an isometric product with an interval; in particular the harmonic map in a given homotopy class is unique when the domain and target have the same dimension.
- (easy) The precomposition and postcomposition of a harmonic map with an isometry gives a harmonic map.

Now let $F = \langle g \rangle$. Since (M, g_{loc}) is nonpositively curved, there is a unique harmonic map ϕ in the homotopy class of the identity map $(M, h) \rightarrow (M, g_{loc})$. Precomposing ϕ with any element $f \in F$ then gives, since f is homotopically trivial, that

$$\phi \circ f = \phi$$

so that ϕ is F -invariant. This principle of “uniqueness implies invariance” will be quite useful for us later.

As ϕ is F -invariant and F is finite, the map ϕ factors through a map $\psi : M \rightarrow M/F$. Now, restricting to orientation-preserving isometries if necessary, the fixed set of F is a submanifold of M of codimension at least two. Hence the quotient orbifold M/F is a pseudomanifold, and so one can apply degree theory to M/F . Since ϕ factors through ψ , and since ψ has degree $|F|$, it follows that ϕ has degree $|F|$. But ϕ is homotopic to the identity map, and so has degree one. Hence $|F| = 1$, so that g is the identity. \diamond

2.3 Algebraic-topological proof

We consider the action of the orbifold fundamental group of $M/\text{Isom}(M)$ on the universal cover \widetilde{M} . Note that this group, by (the algebraic form of) Mostow rigidity, has a representation into the algebraic group G . Note that the symmetric space $X = G/K$ is an example of the universal space \underline{EG} for proper G -actions (see e.g. [BCH]), and thus there is a well-defined equivariant homotopy class intertwining these actions. As in the proof in Subsection 2.2, considering the group generated by $\pi_1(M)$ and by g gives a contradiction.

Remark. The difference between this proof and the harmonic maps proof is that in the latter one can pick out a canonical unique map, while in the algebraic proof there is merely a contractible space of choices. The proof of our main result (Theorem 1.4) will use the extra rigidity of the analytic proof.

3 Proof of the main results

In this section we prove the main results of this paper.

3.1 Hidden symmetries in arithmetic manifolds

We begin this subsection with the following.

Proof of Proposition 1.3: Let $M = \Gamma \backslash G/K$, endowed with the locally symmetric metric. The source of the hidden symmetries in the arithmetic case is intersections of conjugates of Γ . As M is arithmetic, we have that $[\text{Comm}_G(\Gamma) : \Gamma] = \infty$. Let $g \in \text{Comm}_G(\Gamma) \setminus N_G(\Gamma)$, where $N_G(\Gamma)$ denotes the normalizer of Γ in G (which is finite, by Bochner). The subgroup $\Delta_g := g\Gamma g^{-1} \cap N\Gamma$ then contains a subgroup H_g which is normal in $g\Gamma g^{-1}$ but is not normal in Γ . The cover of M corresponding to H_g then has a hidden symmetry. \diamond

It would be interesting to explore manifolds with hidden symmetries occurring in *regular* covers.

Hidden symmetries are thin in $\text{Comm}_G(\Gamma)$. We now give² substance to the claim that the set of hidden symmetries of $M = \Gamma \backslash G/K$ is a rather thin subset of $\text{Comm}_G(\Gamma)$.

First, note that $g \in G$ is (the lift to G/K of) a hidden symmetry of M if and only if g normalizes some finite index subgroup of Γ . Given $g \in \text{Comm}_G(\Gamma)$, if g normalizes Γ' , then some power g^k of g is an inner automorphism of Γ' since the outer automorphism group of any cocompact

²We would like to thank Kevin Whyte for this clean description.

lattice in a simple $G \neq \mathrm{SL}(2, \mathbf{R})$ is finite by Mostow Rigidity. Thus multiplying g^k by an element of Γ' we obtain an element which commutes with Γ' , and is therefore the identity. Thus $g^k \in \Gamma$ for some k , which is certainly not all of $\mathrm{Comm}_G(\Gamma)$. For an easy example (in the noncompact case), most elements of $\mathrm{SL}_n(\mathbf{Q})$ do not have any power in $\mathrm{SL}_n(\mathbf{Z})$, for example the diagonal matrix with entries $(1/2, 2, 1, 1, 1, \dots)$.

3.2 Proof of Theorem 1.4

Non-arithmetic case. First suppose that $M = \Gamma \backslash G / K$ is not arithmetic. By a theorem of Margulis, Γ has finite index in $\Delta := \mathrm{Comm}_G(\Gamma)$. Since every (the lift to \widetilde{M}) of every hidden symmetry of M lies in Δ , it follows that M can only have finitely many hidden symmetries. Now any perturbation of the metric on M which is invariant with respect to the finite group Δ / Γ gives a metric on M with the same number of hidden symmetries as (M, g_{loc}) .

Arithmetic case. Let X denote the universal cover of (M, h) . For any lattice Δ which intersects $\Gamma = \pi_1(M)$ in a subgroup of finite index, we may consider the harmonic map f_Δ in the homotopy class of the identity map from the cover of M corresponding to Δ with the metric h to the corresponding cover with the metric g_{loc} . Uniqueness of harmonic maps gives us, as in the harmonic maps proof of Proposition 1.1 in §2.2, that $f_\Delta = f_\Gamma$.

Noting this for all such Δ , we see that the lift \widetilde{f} of the harmonic representative f of the identity map $(M, h) \rightarrow (M, g_{loc})$ will be g -equivariant with respect any g lying in the union of such Δ in G . Further, again by uniqueness of harmonic maps, we see that \widetilde{f} will be equivariant with respect to the group U generated by all such Δ . The given implies that U contains Γ as a subgroup of infinite index. The (topological) closure of U is a closed, hence Lie, subgroup of G . By the Borel Density theorem (see, e.g., [Ma]), G is the smallest algebraic subgroup containing Γ ; from this it follows that U is dense in G .

Using the Arzela-Ascoli theorem, for any $g \in G$, we can find an isometry ϕ of X which intertwines with g : one simply takes any limit of elements of

U converging to g . We claim that such a ϕ is unique. The only possible non-uniqueness comes from the stabilizer of a point, a compact group. Hence if ϕ were not unique one could factor the map $\tilde{f} : (X, h) \rightarrow (X, g_{loc})$ through some isometry. If this isometry were finite order, the order would divide $\deg(f) = 1$, a contradiction; if it were of infinite order, then f would factor through a lower-dimensional quotient, also contradicting $\deg(f) = 1$. This proves the claim.

Hence we have shown that X admits an isometric G -action, and that \tilde{f} intertwines this action with the standard isometric G -action on the symmetric space (X, g_{loc}) . Thus, the harmonic map f is actually a homothety, and the theorem is proven. \diamond

As the proof of Theorem 1.6 is so similar, we leave it as an exercise to the reader.

4 Examples

4.1 An exotic periodic diffeomorphism

According to an old example, for any $n > 4$ the group $\mathbf{Z}/p\mathbf{Z}$ has a smooth action on the n -sphere S^n with fixed-set a knotted mod- p homology sphere K , with (S^n, K) not homeomorphic to (S^n, S^m) for any $0 \leq m \leq n$. To obtain such an action, take a $(k + 1)$ -dimensional contractible manifold X whose product with an interval is a ball, for example Mazur manifolds for $k = 3$. Then for $n - k$ even, the manifold $X \times D^{n-k}$ has a $\mathbf{Z}/p\mathbf{Z}$ action with fixed-point set X . Restricting to the boundary gives an action on a sphere with fixed-point set ∂X , which is definitely non-simply connected.

Now if one takes a $\mathbf{Z}/p\mathbf{Z}$ action on an n -manifold M and if the fixed-set this action is nonempty, then one can equivariantly connect-sum with one of the knotted (S^n, K) as above to get a new action on M whose fixed set is definitely different, for example the fundamental group of the fixed set won't inject into $\pi_1(M)$.

4.2 A near counterexample

We now give what seems like a “near counterexample” to Theorem 1.5 and Theorem 1.6, namely a closed, aspherical manifold with infinitely many “topological hidden symmetries”.

Let M be a noncompact, arithmetic hyperbolic manifold, say of dimension 3, and say with one end, or “cusp”. This end is homeomorphic to the product of a 2-torus T^2 with $[0, \infty)$. Let V be the closed manifold obtained from M by first deleting $T^2 \times (N, \infty)$ for any large N , and then doubling the resulting manifold. We will not need this, but Leeb has shown that such V can be given metrics of nonpositive curvature.

Since M is arithmetic, it has infinitely many hidden symmetries. It is easy to see that any hidden symmetry of M induces a finite order diffeomorphism of some finite cover of V ; we call this a “hidden topological symmetry”. Hence V has infinitely many “topological hidden symmetries”, but it is easy to see that M has no locally symmetric metric, and indeed is not a fiber bundle over any manifold. Note that any topological hidden symmetry preserves some Riemannian metric on the corresponding finite cover (by averaging); of course this metric depends (as it must, by Theorem 1.5) on the cover of M .

The reason this example ends up not being a counterexample to the theorems is that the core of a cover is not the cover of the core. Equivalently, think about the cover of a cusp. This will be disconnected and different components are likely to cover it different numbers of times. These different covering cusps will be interchanged in a hidden symmetry; this necessitates isogenies of the cusp with shifted versions of itself that go further down the tube.

Given this situation, we make the following conjecture.

Conjecture 4.1 (Stretching cusps). *Let M be the double of a cusped arithmetic hyperbolic n -manifold N , or more generally the double of a negatively curved, arithmetic locally symmetric manifold N which is noncompact but has finite volume. Suppose g_i is a sequence of Riemannian metrics on M with the property that (M, g_i) has at least i hidden symmetries. Then the sequence $\{(M, g_i)\}$ Gromov-Hausdorff converges (in the appropriate sense) to a disjoint union of two isometric copies of N .*

Here we are using a notion of convergence where one chooses two (sequences of) basepoints. It would be interesting to consider the special case of N hyperbolic and each of the metrics g_i being nonpositively curved.

References

- [E] P. Eberlein, Lattices in spaces of nonpositive curvature, *Annals of Math.* (2) 111 (1980), no. 3, 435–476.
- [Fu] A. Furman, Mostow-Margulis rigidity with locally compact targets, *Geom. Funct. Anal.* 11 (2001), no. 1, 30–59.
- [FW] B. Farb and S. Weinberger, Hidden symmetry, in preparation.
- [Ma] G.A. Margulis, *Discrete subgroups of semisimple Lie groups*, Ergebnisse der Mathematik und ihrer Grenzgebiete (3), 17. Springer-Verlag, Berlin, 1991.
- [SY] R.Schoen and S.T.Yau, Compact group actions and the topology of manifolds with nonpositive curvature, *Topology* 18 (1979), no. 4, 361–380.

Dept. of Mathematics, University of Chicago
5734 University Ave
Chicago, IL 60637
E-mail: farb@math.uchicago.edu, shmuel@math.uchicago.edu