

Embeddings of Computable Linear Orders

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Definition

A infinite order type \mathcal{L} is *computable* if it has a *computable presentation*, i.e., if there is a computable binary relation \prec on ω such that $\mathcal{L} \cong (\omega : \prec)$.

If $\mathcal{L}_1 = (L_1 : \prec_1)$ and $\mathcal{L}_2 = (L_2 : \prec_2)$ are computable presentations of computable linear orders, then an embedding $\pi : L_1 \rightarrow L_2$ is *computable* if π is computable as a function.

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Theorem (Folklore)

Uniformly in an index for a Δ_3^0 linear order \mathcal{L} with distinguished least element, there is an index for a computable presentation of the linear order $\omega \cdot \mathcal{L}$.

Theorem (Folklore)

If \mathcal{L} is an infinite order type, then at least one of ω or ω^ classically embeds.*

Classical But Not Computable

Theorem (Folklore)

If \mathcal{L} is an infinite order type, then at least one of ω or ω^ classically embeds.*

Theorem (Denisov; Tennenbaum; Lerman)

The order types ω , ω^ , $\omega + \omega^*$, and $\omega + \zeta \cdot \eta + \omega^*$ form a bases for computable presentations of computable linear orders. In other words, if $\mathcal{L} = (L : \prec)$ is any computable presentation of a computable linear order, there is a computable subset of order type one of these.*

Theorem (Denisov; Tennenbaum)

There is a computable presentation of the order type $\omega + \omega^$ such that neither ω nor ω^* computably embeds.*

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Proof.

We construct a computable presentation of the order type $\omega + \omega^*$ meeting the following requirements \mathcal{R}_e .

\mathcal{R}_e : If W_e is infinite, then $W_e \not\subseteq \omega$ and $W_e \not\subseteq \omega^*$.

We meet \mathcal{R}_e by putting one element of W_e into ω and one element into ω^* . To facilitate this, we maintain a virtual *fence* separating these points with priority e . Note that if a higher priority fence prevents us from separating points in W_e , we can wait for additional points to be enumerated; if none appear, then we win as $|W_e| < \infty$. □

The Question

Remark

It is natural to ask what can be said about the effectiveness of embeddings of \mathcal{L}_1 into \mathcal{L}_2 , allowing the presentations of \mathcal{L}_1 and \mathcal{L}_2 to vary to minimize the complexity of the embedding.

The Question

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It is natural to ask what can be said about the effectiveness of embeddings of \mathcal{L}_1 into \mathcal{L}_2 , allowing the presentations of \mathcal{L}_1 and \mathcal{L}_2 to vary to minimize the complexity of the embedding.

Question

Are there computable linear orders \mathcal{L}_1 and \mathcal{L}_2 such that \mathcal{L}_1 classically embeds into \mathcal{L}_2 but for no computable presentations of \mathcal{L}_1 and \mathcal{L}_2 does \mathcal{L}_1 computably embed into \mathcal{L}_2 ?

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Remark

Of particular (and natural) interest are the special cases when $\mathcal{L}_1 = \eta$ and $\mathcal{L}_2 = \omega^*$.

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The Goal and the Strategy

Remark

The goal is to produce a computable non-scattered linear order \mathcal{L} such that η does not computably embed into any computable presentation of \mathcal{L} .

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Remark

The strategy to produce a computable non-scattered linear order that is intrinsically computably scattered will be to encode trees T into linear orders \mathcal{L}_T in such a way that any embedding of η into \mathcal{L}_T gives information about an infinite path through T in a fairly effective manner.

By choosing T simple enough so that \mathcal{L}_T is computable but complex enough so that its paths are complicated, we obtain an appropriate linear order.

The map $T \mapsto \mathcal{L}_T$ depends on the goal.

The Encoding for $T \subseteq 2^{<\omega}$

Definition

If $T \subseteq 2^{<\omega}$ is any tree, define linear orders $\mathcal{L}_{\langle\sigma,\tau\rangle}$ via corecursion by

$$\begin{aligned}\mathcal{L}_{\langle\sigma,\tau\rangle} = & \langle\sigma,\tau\rangle + \hat{\mathcal{L}}_{\langle\sigma\hat{\ }0,\tau\hat{\ }0\rangle} + \hat{\mathcal{L}}_{\langle\sigma\hat{\ }0,\tau\hat{\ }1\rangle} \\ & + \hat{\mathcal{L}}_{\langle\sigma\hat{\ }1,\tau\hat{\ }0\rangle} + \hat{\mathcal{L}}_{\langle\sigma\hat{\ }1,\tau\hat{\ }1\rangle} + \langle\sigma,\tau\rangle\end{aligned}$$

where

$$\hat{\mathcal{L}}_{\langle\sigma\hat{\ }i,\tau\hat{\ }j\rangle} = \begin{cases} \zeta + \mathcal{L}_{\langle\sigma\hat{\ }i,\tau\hat{\ }j\rangle} + \zeta & \text{if } \sigma\hat{\ }i \in T, \\ \zeta & \text{otherwise.} \end{cases}$$

Define \mathcal{L}_T to be the linear order $\mathcal{L}_{\langle\epsilon,\epsilon\rangle}$, where ϵ denotes the empty string.

A Theorem

Theorem

There is a computable, non-scattered, rank two linear order \mathcal{L} that is intrinsically computably scattered.

Proof.

Let $T \subseteq 2^{<\omega}$ be any infinite Δ_3^0 tree with no Δ_3^0 paths. Then \mathcal{L}_T is computable, non-scattered, rank two, and intrinsically computably scattered. □

\mathcal{L}_T is Computable

Claim

If $T \subseteq 2^{<\omega}$ is Δ_3^0 , then \mathcal{L}_T is computable.

Remark

Recall that \mathcal{L}_T was effectively defined in terms of the linear orders

$$\hat{\mathcal{L}}_{\langle \sigma \smallfrown i, \tau \smallfrown j \rangle} = \begin{cases} \zeta + \mathcal{L}_{\langle \sigma \smallfrown i, \tau \smallfrown j \rangle} + \zeta & \text{if } \sigma \smallfrown i \in T, \\ \zeta & \text{otherwise.} \end{cases}$$

Proof.

Let $\exists k \forall m \exists n R(\sigma, k, m, n)$ be a Σ_3^0 predicate for T . Build $\hat{\mathcal{L}}_{\langle \sigma, \tau \rangle}$ by building a sum $\cdots + \hat{\mathcal{L}}_3 + \hat{\mathcal{L}}_1 + \hat{\mathcal{L}}_0 + \hat{\mathcal{L}}_2 + \hat{\mathcal{L}}_4 + \cdots$ and attempting to build $\mathcal{L}_{\langle \sigma, \tau \rangle}$ at each $\hat{\mathcal{L}}_k$, adding additional points to $\hat{\mathcal{L}}_k$ only when a new witness n for the next m appears. \square

\mathcal{L}_T is Non-Scattered

Claim

If $T \subseteq 2^{<\omega}$ is infinite (i.e., has an infinite path), then \mathcal{L}_T is non-scattered.

Proof.

Let $X \subseteq T$ be an infinite path. Define an embedding $\pi : 2^{<\omega} \rightarrow \mathcal{L}_T$ by recursion.

Define $\pi(\epsilon)$ to be any point in either one of the $\mathcal{L}_{\langle \epsilon, \epsilon \rangle}$ copies of ζ between $\mathcal{L}_{\langle x(0), 0 \rangle}$ and $\mathcal{L}_{\langle x(0), 1 \rangle}$.

Define $\pi(\rho \frown i)$ to be any element in either one of the $\mathcal{L}_{\langle x(0) \dots x(|\rho|), \rho \frown i \rangle}$ copies of ζ between $\mathcal{L}_{\langle x(0) \dots x(|\rho|+1), \rho \frown i \frown 0 \rangle}$ and $\mathcal{L}_{\langle x(0) \dots x(|\rho|+1), \rho \frown i \frown 1 \rangle}$.



\mathcal{L}_T is Intrinsically Computably Scattered

Claim

If $T \subseteq 2^{<\omega}$ is an infinite Δ_3^0 tree with no Δ_3^0 path, then \mathcal{L}_T is intrinsically computably scattered.

Proof.

If there were a computable embedding $\pi : \eta \rightarrow \mathcal{L}_T$, then we could recover a Δ_3^0 path in T . Specifically, determining whether a set of elements form a maximal block is Π_2^0 . Starting with $\rho_0 = \epsilon = \tau_0$, we set $\rho_{s+1} = \rho_s \hat{\ } i$ and $\tau_{s+1} = \tau_s \hat{\ } j$, where $i, j \in \{0, 1\}$ are such that there exists two maximal blocks of size $\langle \rho \hat{\ } i, \tau \hat{\ } j \rangle$ with the range of π containing at least two points in this interval. □

A Theorem

Theorem

There is a computable, non-scattered, rank two linear order \mathcal{L} that is intrinsically computably scattered.

Proof.

Let $T \subseteq 2^{<\omega}$ be any infinite Δ_3^0 tree with no Δ_3^0 paths. Then \mathcal{L}_T is computable, non-scattered, rank two, and intrinsically computably scattered. □

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Remark

The strategy to produce a computable non-well-ordered linear order that is intrinsically computably well-ordered will be to encode functions F into linear orders \mathcal{L}_F in such a way that

- Any descending chain in \mathcal{L}_F is (almost) cofinal [downwards] in \mathcal{L}_F .
- The linear order \mathcal{L}_F is not computable.
- The linear order $\omega^\omega + \mathcal{L}_F$ is computable.

Again, the map $F \mapsto \mathcal{L}_F$ depends on the goal.

Definition

If $F : \omega \rightarrow \omega$ is a function with infinite support, define the linear order \mathcal{L}_F by

$$\mathcal{L}_F = \cdots + \omega^n \cdot F(n) + \cdots + \omega^2 \cdot F(2) + \omega \cdot F(1) + F(0).$$

Theorem

There is a computable, non-well-ordered, scattered, rank $\omega + 1$ linear order \mathcal{L} that is intrinsically computably well-ordered.

Proof.

Let F be any $\Delta_{(2n+1)}^0$ -limit infimum function such that \mathcal{L}_F is not computable. Then the linear order $\omega^\omega + \mathcal{L}_F$ is computable, non-well-ordered, scattered, rank $\omega + 1$, and intrinsically computably well-ordered. □

Limit Infimum Functions

Definition

A function $F : \omega \rightarrow \omega$ is a *limit infimum function* if there is a total computable function $f : \omega \times \omega \rightarrow \omega$ such that

$$F(n) = \liminf_s f(n, s)$$

for all n .

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Definition

A function $F : \omega \rightarrow \omega$ is a $\Delta_{(2n+1)}^0$ -*limit infimum function* if there is a functional $\varphi_e : \omega \times \omega \rightarrow \omega$ such that

$$F(n) = \liminf_s \varphi_e^{0(2n)}(n, s)$$

for all n .

There is an F

Claim

There is a $\Delta_{(2n+1)}^0$ -limit infimum function $F : \omega \rightarrow \omega$ such that \mathcal{L}_F is not computable.

Proof.

A diagonalization argument that builds a $\{0, 1\}$ -valued function F . Roughly speaking, the strategy $S_{i,n}$ (for $n \geq i$) uses $F(2n)$ and $F(2n + 1)$ to assure that $\mathcal{L}_F \neq \mathcal{L}_i$.

For example, to assure $\mathcal{L}_F \neq \mathcal{L}_0$, the strategy $S_{0,0}$ begins setting $f(0, s) = 0$ and $f(1, s) = 1$. If a point appears to the right of a_0 , the strategy $S_{0,0}$ switches to setting $f(0, s) = 1$ and $f(1, s) = 0$. Note that if a_0 is part of the $F(0)$ or $\omega \cdot F(1)$ blocks of \mathcal{L}_0 , then $\mathcal{L}_F \neq \mathcal{L}_0$. □

Claim

If $F : \omega \rightarrow \omega$ is a $\Delta_{(2n+1)}^0$ -limit infimum function, then $\omega^\omega + \mathcal{L}_F$ is computable.

Proof.

If $F(n) > 0$ for all n , build a computable copy of \mathcal{L}_F by viewing it as the sum

$$\begin{aligned} + \cdots + [\omega^n \cdot (\omega + F(n) - 1)] + \cdots + [\omega^2 \cdot (\omega + F(2) - 1)] \\ + [\omega \cdot (\omega + F(1) - 1)] + [\omega + F(0)] \end{aligned}$$

and building each summand separately.

For general F , use the Recursion Theorem to assure the garbage either settles down or collects in the copy of ω^ω . □

$\omega^\omega + \mathcal{L}_F$ is Intrinsically Computationally Well-Ordered

Claim

If $F : \omega \rightarrow \omega$ is any $\Delta_{(2n+1)}^0$ -limit infimum function such that \mathcal{L}_F is not computable, then $\omega^\omega + \mathcal{L}_F$ is intrinsically computably well-ordered.

Proof.

If there were a computable embedding $\pi : \omega^* \rightarrow \omega^\omega + \mathcal{L}_F$, then the linear order with universe

$$\{x \in \omega^\omega + \mathcal{L}_F : \pi(z) \prec x \text{ for some } z \in \omega^*\}$$

and order inherited from $\omega^\omega + \mathcal{L}_F$ would be computable. But this is \mathcal{L}_F , a contradiction. □

Theorem

There is a computable, non-well-ordered, scattered, rank $\omega + 1$ linear order \mathcal{L} that is intrinsically computably well-ordered.

Proof.

Let F be any $\Delta_{(2n+1)}^0$ -limit infimum function such that \mathcal{L}_F is not computable. Then the linear order $\omega^\omega + \mathcal{L}_F$ is computable, non-well-ordered, scattered, rank $\omega + 1$, and intrinsically computably well-ordered. □

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$$\begin{aligned} \mathcal{L}_{\langle\sigma,\tau\rangle} = & \omega + \langle\sigma,\tau\rangle + \zeta + \left(\sum_{\substack{i \in \omega \\ \sigma \frown i \in T}} \mathcal{L}_{\langle\sigma \frown i, \tau \frown 0\rangle} \right)^* \\ & + \left(\sum_{\substack{i \in \omega \\ \sigma \frown i \in T}} \mathcal{L}_{\langle\sigma \frown i, \tau \frown 1\rangle} \right) + \zeta + \langle\sigma,\tau\rangle + \omega^*. \end{aligned}$$

Let \mathcal{L}_T be the linear order $\mathcal{L}_{\langle\epsilon,\epsilon\rangle}$, where ϵ denotes the empty string.

A Theorem

Theorem

There is a computable, non-scattered linear order \mathcal{L} that is intrinsically hyperarithmetically scattered.

Proof.

Let $T \subseteq \omega^{<\omega}$ be a computable tree with infinite paths but no hyperarithmetic paths. Then \mathcal{L}_T is computable, non-scattered, and intrinsically hyperarithmetically scattered. \square

A Theorem

Theorem

If \mathcal{L} is any computable rank one, non-scattered linear order, then there is a computable embedding of η into some computable presentation of \mathcal{L} .

Proof.

Any such linear order is (almost) of the form

$$\mathcal{L}_F = \sum_{q \in \mathbb{Q}} F(q)$$

for some function $F : \mathbb{Q} \rightarrow \omega \cup \{\omega^*, \zeta, \omega\}$. If it isn't, argue that it might as well be by considering either $\mathcal{L}^* + \mathcal{L}$, $\mathcal{L} + \mathcal{L}^*$, or $\sum_{z \in \zeta} \mathcal{L}$.

Handle the case when F is unbounded on every interval separate from when F is bounded on some interval.



When F is Bounded on an Interval

Proof.

Demonstrate η computably embeds into every computable presentation of \mathcal{L} . Add a point in \mathcal{L} into the range of π whenever it is separated on the left and on the right by N points in \mathcal{L} not yet in the range of π . □

Question

If η computably embeds into every computable presentation of a linear order \mathcal{L} , must \mathcal{L} be strongly η -like on some interval?

When F is Unbounded on Every Interval

Proof.

For functions $F : \mathbb{Q} \rightarrow \omega \cup \{\omega^*, \zeta, \omega\}$ unbounded on every interval, the following are equivalent:

- The linear order \mathcal{L}_F is computable.
- There are limit infimum functions $L : \mathbb{Q} \rightarrow \omega$ and $R : \mathbb{Q} \rightarrow \omega$ such that $F(q) = L(q)^* + 1 + R(q)$ for all q .
- There are $\mathbf{0}'$ -limitwise monotonic functions $L : \mathbb{Q} \rightarrow \omega$ and $R : \mathbb{Q} \rightarrow \omega$ such that $F(q) = L(q)^* + 1 + R(q)$ for all q .



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Theorem

For every computable ordinal α , there is a computable, non-well-ordered linear order \mathcal{L} that is intrinsically $\emptyset^{(\alpha)}$ -computably well-ordered.

Proof.

Let $F : \omega \rightarrow \omega$ be a $\Delta_{(2n+1)}^0(\emptyset^{(\alpha)})$ -limit infimum function such that \mathcal{L}_F is not $\emptyset^{(\alpha)}$ computable. Then $\omega^\alpha \cdot (\omega^\omega + \mathcal{L}_F)$ suffices. \square

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Remark

By a result of Harrison, this is best possible.

A Theorem

Theorem

If \mathcal{L} is a computable, rank ω , scattered, non-well-ordered linear order, then there is a computable embedding of ω^ into some computable presentation of \mathcal{L} .*

Proof.

Demonstrate the ability to build a computable presentation into which ω^* computably embeds if a non-greatest point in $c^n(\mathcal{L})$ has no immediate successor in $c^n(\mathcal{L})$. Note that ω^* and ζ cannot be the order type of a maximal block in any $c^n(\mathcal{L})$ if \mathcal{L} is intrinsically computably well-ordered. □

Lemma

If \mathcal{L} is a Δ_3^0 linear order with distinguished least element having a Δ_3^0 embedding of ω^ and R is any Σ_3^0 predicate, then $R \cdot \mathcal{L}$ has a computable presentation into which ω^* computably embeds.*

A Conjecture

Conjecture

There is a computable, non-well-ordered, non-scattered, rank $\omega + 1$ linear order \mathcal{L} that is intrinsically computably well-ordered.

Proof.

Define a linear order similar to \mathcal{L}_T for $T \subseteq 2^{<\omega}$ except use linear orders

$$\mathcal{L}_F = \cdots + \omega^n \cdot F(n) + \cdots + \omega \cdot F(1) + F(0) + \omega^\omega$$

as markers rather than finite linear orders $\langle \sigma, \tau \rangle$. As $\mathcal{L}_{F_1} \cong \mathcal{L}_{F_2}$ if and only if $F_1 =^* F_2$, code σ into \mathcal{L}_F by having the support of F be a subset of the multiples of σ . \square

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Analagous Results for Other Classes

Theorem

Let X be the class of directed (acyclic) graphs, the class of undirected graphs, the class of commutative rings, the class of two-step nilpotent groups, the class of integral domains, or the class of commutative semigroups.

Then there are computable structures $S_1, S_2 \in X$ such that S_1 classically embeds into S_2 but for no computable presentations of S_1 and S_2 is there a computable embedding.

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Proof.

Show the result for X the class of directed acyclic graphs. The other classes then follow from previous work (Hirschfeldt, Khousainov, Shore, Slinko). □

Directed (Acyclic) Graphs

Proof.

Let T be an infinite computable tree with no computable paths. Let S_2 be the graph of T after replacing edges by either a directed diamond or a directed hexagon depending on whether the edge represents a string ending in a 0 or a 1. Let S_1 be the graph of exactly one (directed) infinite path. \square

Proof.

Let $\omega^\omega + \mathcal{L}_F$ be a computable non-well-ordered intrinsically computably well-ordered linear order. Let S_2 be the graph whose vertices are the elements of $\omega^\omega + \mathcal{L}_F$, with a directed edge connecting vertex i to vertex j if and only if $j < i$ in the linear order. Again, let S_1 be the graph of exactly one (directed) infinite path. \square

Definition

A tree is a partial order $(T : \prec)$ with a least element such that for all $x \in T$, the set $\{y \in T : y \preceq x\}$ is a finite linearly ordered set.

Theorem (Binns, Kjos-Hanssen, Lerman, Schmerl, Solomon)

There are computable trees T_1 and T_2 such that T_1 classically embeds into T_2 but for no computable presentations of T_1 and T_2 is there a computable embedding.

Proof.

Let $T_1 \cong 2^{<\omega}$ and let T_2 be an appropriate perfect binary branching tree. Build T_2 computable so that any function $f : \omega \rightarrow \omega$ that dominates the properly \emptyset'' -computable branching function $b : \omega \rightarrow \omega$ satisfies $b \leq_T f \oplus \emptyset'$. □

Theorem

There are no computable Boolean algebras \mathcal{B}_1 and \mathcal{B}_2 such that \mathcal{B}_1 classically embeds into \mathcal{B}_2 but for no computable presentations of \mathcal{B}_1 and \mathcal{B}_2 is there a computable embedding.

Proof.

If \mathcal{B}_2 is superatomic, then \mathcal{B}_1 is superatomic; and the result is immediate.

If \mathcal{B}_2 is non-superatomic, it suffices to show that the countable atomless Boolean algebra computably embeds into some computable presentation of \mathcal{B}_2 . Note that it suffices to consider uniform \mathcal{B}_2 . With α the minimal ordinal in the range of $\sigma_{\mathcal{B}_2}$, note $\mathcal{B}_2 = \mathcal{B}_2 \oplus \mathcal{B}_{\sigma_u(\{\alpha\})}$. There is a nice presentation of the latter into which the countable atomless Boolean algebra computably embeds. □

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A Summary of Embedding Results

Theorem

There is a computable, non-scattered, rank two linear order \mathcal{L} that is intrinsically computably scattered.

There is a computable, non-well-ordered, scattered, rank $\omega + 1$ linear order \mathcal{L} that is intrinsically computably well-ordered.

There is a computable, non-well-ordered, non-scattered, rank $\omega + 1$ linear order \mathcal{L} that is intrinsically computably well-ordered?

There is a computable, non-scattered, linear order \mathcal{L} that is intrinsically hyperarithmetically scattered.

For many nice classes of algebraic structures X (but not X the class of Boolean algebras), there are computable S_1 and S_2 in X such that S_1 classically embeds into S_2 but for no computable presentations of S_1 and S_2 is there a computable embedding.

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