

REVERSE MATHEMATICS

CONNIE FAN

ABSTRACT. In math we typically assume a set of axioms to prove a theorem. In reverse mathematics, the premise is reversed: we start with a theorem and try to determine the minimal axiomatic system required to prove the theorem (over a weak base system). This produces interesting results, as it can be shown that theorems from different fields of math such as group theory and analysis are in fact equivalent. Also, using reverse mathematics we can put theorems into a hierarchy by their complexity such that theorems that can be proven with weaker subsystems are “less complex”. This paper will introduce three frequently used subsystems of second-order arithmetic, give examples as to how different theorems would compare in a hierarchy of complexity, and culminate in a proof that subsystem ACA_0 is equivalent to the statement that the range of every injective function exists.

CONTENTS

1. Introduction	1
2. Second order arithmetic (Z_2)	2
3. Arithmetical Formulas	3
4. Recursive comprehension axiom (RCA_0)	3
5. Weak König’s lemma (WKL_0)	7
6. Arithmetical comprehension axiom (ACA_0)	9
7. Conclusion	10
Acknowledgments	10
References	10

1. INTRODUCTION

Reverse mathematics is a relatively new program in logic with the aim to determine the minimal axiomatic system required to prove theorems. We typically start from axioms \mathcal{A} to prove a theorem τ . If we could reverse this to show that the axioms follow from the theorem, then this would demonstrate that the axioms were necessary to prove the theorem. However, it is not possible in classical mathematics to start from a theorem to prove a whole axiomatic subsystem. A *weak base theory* \mathcal{B} is required to supplement τ . If $\mathcal{B} + \tau$ can prove \mathcal{A} , this proof is called a *reversal*. Then we can conclude that \mathcal{A} and τ are equivalent over \mathcal{B} .

This paper will introduce reverse mathematics at a level accessible to undergraduate mathematics majors. No prior knowledge of logic is needed. This paper will draw heavily from Simpson’s reverse mathematics text [2].

Date: July 23, 2010.

2. SECOND ORDER ARITHMETIC (Z_2)

In reverse mathematics, subsystems of second-order arithmetic (Z_2) are most often used. Z_2 is a formal system consisting of language L_2 and some axioms. From these axioms, we can deduce formulas, called theorems of Z_2 . A subsystem of second-order arithmetic is a formal system consisting of language L_2 and axioms that are theorems of Z_2 ; a subsystem consists of some of the theorems of Z_2 , so it is just a fragment of Z_2 .

The language of second-order arithmetic L_2 is a first-order theory and is two-sorted—that is, there are two kinds of variables. The first kind represent individual natural numbers and is denoted by lowercase letters. The second kind represent sets of natural numbers and is denoted by uppercase letters. The language has constants 0 and 1 and binary operations \cdot and $+$. The atomic formulas of this language are $x = y$, $x < y$, and $x \in A$, where x and y are natural numbers and A is any set variable. Formulas are constructed from atomic formulas using propositional connectives \wedge , \vee , \neg , \rightarrow , and \leftrightarrow , and quantifiers \forall (for all) and \exists (exists).

The axioms of second-order arithmetic consist of:

- 1) the axioms of Peano arithmetic (such as the existence of additive and multiplicative identity, associativity and commutativity of addition and multiplication, the distributive law)
- 2) the induction axiom:

$$(2.1) \quad (0 \in X \wedge \forall n(n \in X \rightarrow n + 1 \in X)) \rightarrow \forall n(n \in X)$$

- 3) the comprehension scheme:

$$(2.2) \quad \exists X \forall n(n \in X \leftrightarrow \varphi(n))$$

where $\varphi(n)$ is any L_2 formula where X does not occur freely. We use the comprehension scheme to show a set exists in Z_2 by defining the set abstractly with $\varphi(n)$. For example, if X is the set of even numbers, then $\varphi(n)$ could be $\exists m(m + m = n)$. Also, for any finite set of natural numbers X , there is a formula $\varphi(n)$ that defines it. For the set $X = \{1, 23, 125\}$, one possible formula $\varphi(n)$ that can be used is one that formalizes the statement:

$$(\text{the } n^{\text{th}} \text{ prime divides } p^1 \cdot p^{23} \cdot p^{125})$$

where p^i is the i^{th} prime. This works because of the uniqueness of prime power decomposition.

In reverse mathematics, the five most commonly used subsystems in increasing logical strength are RCA_0 , WKL_0 , ACA_0 , ATR_0 , and $\Pi_1^1\text{-}CA_0$. The initial three subsystems will be discussed in subsequent sections.

The 0 subscript in the subsystem abbreviations means that induction is restricted. Let X be the set that exists due to the comprehension scheme with $\varphi(n)$. Then the induction axiom becomes the second-order induction scheme:

$$(2.3) \quad (\varphi(0) \wedge \forall n(\varphi(n) \rightarrow \varphi(n + 1))) \rightarrow \forall n \varphi(n).$$

In the five aforementioned subsystems, we cannot use just any L_2 formula $\varphi(n)$ in the induction scheme. The formulas must have a specific form, hence the subsystems have restricted induction schemes.

3. ARITHMETICAL FORMULAS

For each subsystem, induction is restricted to a certain level of arithmetical formula; there are limitations to what $\varphi(n)$ can be used in the induction scheme. Different types of arithmetical formula are denoted by Σ_n^0 , Π_n^0 , and Δ_n^0 . The 0 superscript indicates that quantifiers (\exists and \forall) range over numbers as opposed to sets of numbers.

The following expressions are *bounded number quantifiers*:

$$\forall n < t, \forall n \leq t, \exists n < t, \exists n \leq t.$$

A *bounded quantifier formula* is a formula whose quantifiers are all bounded number quantifiers. For example, $\exists m \leq n(n = m + m)$ is a bounded quantifier formula that asserts n is even. The class of bounded-quantifier formulas is Σ_0^0 (Π_0^0).

An L_2 formula is Σ_n^0 if it has form:

$$(\exists y_1)(\forall y_2)(\exists y_3) \cdots (Qy_n) \theta$$

where y_i are number variables and θ is a bounded quantifier formula. The quantifiers alternate between \exists and \forall .

An L_2 formula is Π_n^0 if it has form:

$$(\forall y_1)(\exists y_2)(\forall y_3) \cdots (Qy_n) \theta$$

where y_i are number variables and θ is a bounded quantifier formula.

An L_2 formula is Δ_n^0 if it is both Σ_n^0 and Π_n^0 .

We also use this hierarchy to classify sets as Σ_n^0 , Π_n^0 , and/or Δ_n^0 . A set is at a particular level of the hierarchy if it is defined by a formula at that level. For example, the set of even numbers B is Σ_0^0 because a Σ_0^0 formula can be used to describe the set:

$$n \in B \leftrightarrow \exists m \leq n(n = m + m).$$

The Σ_1^0 *induction scheme* is the restriction of the second-order induction scheme as in Equation 2.3 to $\varphi(n)$ that are Σ_1^0 . Similarly, the Δ_1^0 *comprehension scheme* is the restriction of the comprehension scheme as in Equation 2.2 to $\varphi(n)$ that are Δ_1^0 . If a formula is of form, say, Σ_n^0 , it may or may not be Δ_n^0 . We would have to check every Π_n^0 formula for equivalence to the Σ_n^0 formula. Thus, the Δ_1^0 comprehension scheme consists of all formulas of form

$$\forall n(\psi(n) \leftrightarrow \varphi(n)) \rightarrow \exists X \forall n(n \in X \leftrightarrow \varphi(n)),$$

where $\varphi(n)$ is a Σ_1^0 formula and $\psi(n)$ is a Π_1^0 formula.

In all the subsystems, induction is restricted to Σ_1^0 formulas. The subsystems have different comprehension schemes, so the stronger the subsystem's comprehension scheme, the logically stronger the subsystem is.

 4. RECURSIVE COMPREHENSION AXIOM (RCA_0)

RCA_0 is typically used as the weak base theory in reverse math. Most theorems from mathematics are either equivalent to RCA_0 , or equivalent to WKL_0 , ACA_0 , ATR_0 , or $\Pi_1^1\text{-}CA_0$ over RCA_0 . ATR_0 and $\Pi_1^1\text{-}CA_0$ are stronger subsystems that will not be discussed in this paper.

RCA_0 is a subsystem of second-order arithmetic that consists of the axioms of Peano arithmetic, the Σ_1^0 induction scheme, and the Δ_1^0 comprehension scheme. A set X is the comprehension of a Σ_1^0 formula if and only if it is recursively enumerable. Similarly, a set X is the comprehension of a Δ_1^0 formula if and only if it is

computable (recursive)— equivalently, if and only if there is an algorithm that can determine whether or not a given natural number n is in X .

A structure of a set or system describes its variables and non-logic symbols (constants, operations) that yield relations between variables. For example, the structure of the rational numbers is $(\mathbb{Q}, +_{\mathbb{Q}}, -_{\mathbb{Q}}, \times_{\mathbb{Q}}, 0_{\mathbb{Q}}, 1_{\mathbb{Q}}, <_{\mathbb{Q}}, =_{\mathbb{Q}})$. A model of a set of formulas is a structure with the same non-logic symbols, and all formulas in the set are in the model as well. Computability theorists define ω -models (ω denotes the natural numbers, the range of the number variables in an ω -model is the natural numbers) of subsystems. An ω -model \mathcal{S} of RCA_0 must satisfy the following properties:

1. $\mathcal{S} \neq \emptyset$
2. $A \in \mathcal{S}$ and $B \in \mathcal{S}$ imply $A \oplus B \in \mathcal{S}$
3. $A \in \mathcal{S}$ and $B \leq_T A$ (B is Turing reducible to A) imply $B \in \mathcal{S}$.

[See [3] for a guide to computability theory.]

Not surprisingly, the minimum ω -model of RCA_0 is the computable sets. More precisely, the minimum ω -model has structure:

$$\left(\underbrace{\omega}_{\substack{\text{range} \\ \text{of the} \\ \text{number} \\ \text{variables}}}, \underbrace{\{X \subseteq \omega : X \text{ is computable}\}}_{\substack{\text{range of} \\ \text{the set} \\ \text{variables}}}, \underbrace{+, \times}_{\substack{\text{number} \\ \text{variable} \\ \text{operations}}}, \underbrace{0, 1}_{\substack{\text{number} \\ \text{variable} \\ \text{constants}}}, \underbrace{<}_{\substack{\text{number} \\ \text{variable} \\ \text{relation}}} \right).$$

RCA_0 says we can assume a set of natural numbers exists only if we can compute the set. Thus, RCA_0 cannot prove the existence of non-computable sets.

The following examples are computable, hence in RCA_0 .

Examples 4.1. The following exist in RCA_0 : (i) constant functions, (ii) function composition, and (iii) characteristic functions.

Proof. (i) Define a function $f = \{(x, y) | \varphi(x)\}$. In the tuple (x, y) , x is the function's input, y is the output, and φ is the formula of the function that relates the two.

Let $c \in \mathbb{N}$ be a constant. In the function $f = \{(x, y) | y = c\}$, the formula $y = c$ is Σ_0^0 , so f exists by Σ_0^0 comprehension.

(ii) Let $f : A \rightarrow B$ and $g : B \rightarrow C$ be two functions. Define their composition $h : A \rightarrow C$ by $h = \{(x, y) | x \in A \wedge \forall z((x, z) \in f \rightarrow (z, y) \in g)\}$, which is Π_1^0 .

Alternatively we can write $h = \{(x, y) | \exists z \in B((x, z) \in f \wedge (z, y) \in g)\}$, which is Σ_1^0 . Hence, h is Δ_1^0 and exists by Δ_1^0 comprehension.

(iii) A characteristic function of a set outputs 1 if the input is in the set and 0 if the input is not in the set. For a set A , the characteristic function

$$\chi_A = \{(x, y) | (x \in A \wedge y = 1) \vee (x \notin A \wedge y = 0)\}$$

exists by Σ_0^0 comprehension. In future proofs, $a \in A$ or $a \notin A$ is assumed provable in RCA_0 since $\chi_A(a)$ exists. \square

A variety of mathematical objects can be encoded in RCA_0 .

Examples 4.2. The following can be encoded in RCA_0 : (i) Finite sets, tuples, numbers in (ii) \mathbb{Z} , (iii) \mathbb{Q} , and (iv) \mathbb{R} , and (v) computable sequences and functions.

Proof. (i) Finite sets and tuples can be encoded with prime numbers as discussed

- earlier (because of the uniqueness of prime power decomposition).
 (ii) For \mathbb{Z} , each integer can be encoded as an (\mathbb{N}, \mathbb{N}) tuple.

Let $b \in \mathbb{N}$ be the tuple $(b, 0)$, so then integer $a = (m, n) = m - n$.

We can have the following operations and relations:

$$\begin{aligned} (m, n) +_{\mathbb{Z}} (p, q) &= (m + p, n + q) \\ (m, n) -_{\mathbb{Z}} (p, q) &= (m + q, n + p) \\ (m, n) \times_{\mathbb{Z}} (p, q) &= (m \times p + n \times q, m \times q + n \times p) \\ (m, n) <_{\mathbb{Z}} (p, q) &\leftrightarrow m + q < n + p \\ (m, n) =_{\mathbb{Z}} (p, q) &\leftrightarrow m + q = n + p \end{aligned}$$

In RCA_0 , we can show $\mathbb{Z}, +, -, \times, 0, 1, <$ is an integral domain.

- (iii) For \mathbb{Q} , recall that any rational number can be expressed as the quotient of $\frac{m}{n}$ where m is an integer and n is a positive integer.

Then any rational number can be encoded as a tuple $(\mathbb{Z}, \mathbb{Z}^+)$ with the following operations and relations:

$$\begin{aligned} (m, n) +_{\mathbb{Q}} (p, q) &= (m \times q + n \times p, n \times q) \\ (m, n) -_{\mathbb{Q}} (p, q) &= (m \times q - n \times p, n \times q) \\ (m, n) \times_{\mathbb{Q}} (p, q) &= (m \times p, n \times q) \\ (m, n) <_{\mathbb{Q}} (p, q) &\leftrightarrow m \times q < n \times p \\ (m, n) =_{\mathbb{Q}} (p, q) &\leftrightarrow m \times q = n \times p \end{aligned}$$

In RCA_0 , we can show $\mathbb{Q}, +, -, \times, 0, 1, <$ is an ordered field.

- (iv) For \mathbb{R} , a real number can be expressed as a sequence of rational numbers $\{q_k\}$ ($k \in \mathbb{N}$) such that $\forall k \forall i (|q_k - q_{k+i}| \leq \frac{1}{2^k})$.

With \mathbb{N}, \mathbb{Z} , and \mathbb{Q} numbers, two numbers q and q' are equal with the Δ_1^0 formula $q = q'$. Two real numbers $\{q_k\}$ and $\{q'_k\}$ are equal if the following is true:

$$\forall k \left(|q_k - q'_k| \leq \frac{2}{2^k} \right)$$

In contrast, this formula is Π_1^0 . An equivalent Σ_1^0 formula is needed for Δ_1^0 comprehension, so proving two real numbers are equal in RCA_0 is problematic.

- (v) Sequences and functions that are computable can be encoded in RCA_0 by definition of RCA_0 . \square

Theorem 4.3. *The following theorems are provable in RCA_0 :*

- (i) *the intermediate value theorem*
- (ii) *the Baire category theorem*
- (iii) *the Tietze extension theorem for complete separable metric spaces*
- (iv) *the soundness theorem*
- (v) *Gödel's completeness theorem*
- (vi) *the Banach-Steinhaus theorem*

[Various Authors]

Although RCA_0 is the weakest subsystem, it is sufficient to prove basic properties of numbers as in Examples 4.2(ii-iv), theorems used in calculus, algebra, and analysis, even theorems used in topology such as the Baire category theorem.

Next we will prove primitive recursion and some results that will be used in the final proof.

Theorem 4.4. Primitive Recursion

The following is provable in RCA_0 :

For any two functions $f : \mathbb{N}^k \rightarrow \mathbb{N}$ and $g : \mathbb{N}^{k+2} \rightarrow \mathbb{N}$, there exists a unique function $h : \mathbb{N}^{k+1} \rightarrow \mathbb{N}$ defined by

$$h(0, n_1, \dots, n_k) = f(n_1, \dots, n_k)$$

$$h(m+1, n_1, \dots, n_k) = g(h(m, n_1, \dots, n_k), m, n_1, \dots, n_k)$$

Proof. Let $\theta(s, m, \langle n_1, \dots, n_k \rangle)$ be a formula that says: (i) $s \in \mathbb{N}^{<\mathbb{N}}$ is the code of the finite sequence $\langle s(0), \dots, s(m) \rangle$, which has length $m+1$; (ii) the sequence that s codes for is recursively defined by $s(0) = f(n_1, \dots, n_k)$, and for all $i < m$, $s(i+1) = g(s(i), i, n_1, \dots, n_k)$.

The formula $\exists s \theta(s, m, \langle n_1, \dots, n_k \rangle)$ is Σ_1^0 . Thus, for each fixed finite sequence $\langle n_1, \dots, n_k \rangle \in \mathbb{N}^k$, we can prove there exists a sequence that fulfills (i) and (ii): $\exists s \theta(s, m, \langle n_1, \dots, n_k \rangle)$ by Σ_1^0 induction on m .

If $\theta(s, m, \langle n_1, \dots, n_k \rangle)$ and $\theta(s', m, \langle n_1, \dots, n_k \rangle)$ hold, then $s(i) = s'(i)$ by induction on $i < m+1$. In other words, for a fixed m and $\langle n_1, \dots, n_k \rangle$, the code s for the sequence is unique. It follows from the previous sentence that for a fixed m and $\langle n_1, \dots, n_k \rangle$, the existence of a sequence that meets (i) and (ii) with last element j is equivalent to the statement that all sequences that meet (i) and (ii) imply that j is the last element. So for all $\langle n_1, \dots, n_k \rangle \in \mathbb{N}^k$, m , and j ,

$$\exists s(\theta(s, m, \langle n_1, \dots, n_k \rangle) \wedge s(m) = j) \leftrightarrow \forall s(\theta(s, m, \langle n_1, \dots, n_k \rangle) \rightarrow s(m) = j)$$

The left statement is Σ_1^0 and the right statement is Π_1^0 , and since the statements are equivalent this is Δ_1^0 . Hence by Δ_1^0 comprehension (the comprehension scheme of RCA_0), there exists the function $h : \mathbb{N}^{k+1} \rightarrow \mathbb{N}$ such that

$$h(m, n_1, \dots, n_k) = j$$

if and only if $\exists s(\theta(s, m, \langle n_1, \dots, n_k \rangle) \wedge s(m) = j)$. The function h fulfills the properties of the theorem. \square

Theorem 4.5. Minimization

The following is provable in RCA_0 :

Let function $f : \mathbb{N}^{k+1} \rightarrow \mathbb{N}$ be such that for all $\langle n_1, \dots, n_k \rangle \in \mathbb{N}^k$ there exists $m \in \mathbb{N}$ such that $f(m, n_1, \dots, n_k) = 1$. Then there exists $g : \mathbb{N}^k \rightarrow \mathbb{N}$ defined by

$$g(n_1, \dots, n_k) = \text{least } m \text{ such that } f(m, n_1, \dots, n_k) = 1.$$

Proof. Define the function g as a set of tuples $(\mathbb{N}^k \text{ [input]}, \mathbb{N} \text{ [output]})$ as follows:

$$g = \{(\langle n_1, \dots, n_k \rangle, m) \mid (\langle m, n_1, \dots, n_k \rangle, 1) \in f \wedge \neg(\exists j < m)((\langle j, n_1, \dots, n_k \rangle, 1) \in f)\}$$

This set exists by Σ_0^0 comprehension, so it exists in RCA_0 . Also, g defined as such fulfills the theorem's conditions. \square

Lemma 4.6. The following can be proven in RCA_0 : for any infinite set $A \subseteq \mathbb{N}$, there exists a function $\pi_A : \mathbb{N} \rightarrow \mathbb{N}$ such that $\forall n(n \in A \leftrightarrow \exists m(\pi_A(m) = n))$ and $\forall k \forall m(k < m \rightarrow \pi_A(k) < \pi_A(m))$, that is, the function enumerates all the elements of A in order.

Proof. Define $\nu_A : \mathbb{N} \rightarrow \mathbb{N}$ by

$$\nu_A(m) = \text{least } n \text{ such that } n \in A \text{ and } n \geq m$$

Define $\pi_A : \mathbb{N} \rightarrow \mathbb{N}$ using primitive recursion (Theorem 4.4):

$$\begin{aligned} \pi_A(0) &= \nu_A(0) \\ \pi_A(m+1) &= \nu_A(\pi_A(m) + 1) \end{aligned}$$

Using Σ_0^0 induction, we can see that π_A fulfills the lemma's conditions. \square

Lemma 4.7. *Let $\varphi(n)$ be a Σ_1^0 formula in which X and function f do not occur freely. The following is provable in RCA_0 : either*

(i) *there exists a finite set X such that*

$$\forall n(n \in X \leftrightarrow \varphi(n)),$$

that is, there are only finitely many n such that $\varphi(n)$ is true, or

(ii) *there exists a one-to-one function $f : \mathbb{N} \rightarrow \mathbb{N}$ such that*

$$\forall n(\varphi(n) \leftrightarrow \exists m(f(m) = n)),$$

that is, there is a one-to-one function $f : \mathbb{N} \rightarrow \mathbb{N}$ whose range is the n that satisfy $\varphi(n)$.

Proof. Suppose (i) is false, then we will show (ii) must be true. Formula $\varphi(n)$ is Σ_1^0 , so we can rewrite it as $\exists j \theta(j, n)$ where $\theta(j, n)$ is Σ_0^0 . Define a set

$$Y = \{(j, n) \mid \theta(j, n) \wedge \neg(\exists i < j)\theta(i, n)\},$$

which exists by Σ_0^0 comprehension. Since (i) is false, there are infinitely many n such that $\varphi(n)$ holds, so the set Y is infinite. By Lemma 4.6, there is a function $\pi_Y : \mathbb{N} \rightarrow \mathbb{N}$ that enumerates the elements of Y in strictly increasing order. We define the second projection function $p_2 : \mathbb{N} \rightarrow \mathbb{N}$ as such:

$$\text{for all } j, n \in \mathbb{N}, p_2((j, n)) = n$$

Function p_2 exists by Σ_0^0 comprehension. Finally, let $f : \mathbb{N} \rightarrow \mathbb{N}$ be defined as the composition function $f(m) = p_2(\pi_Y(m))$, which meets the criterion in (ii). \square

5. WEAK KÖNIG'S LEMMA (WKL_0)

WKL_0 consists of the axioms of RCA_0 and also Weak König's Lemma, which states that *every infinite binary tree has an infinite path*.

$$WKL_0 = RCA_0 + \text{Weak König's Lemma}$$

After some definitions, the implications of this lemma will be expanded on below.

The set of all finite strings of natural numbers is denoted by $\mathbb{N}^{<\mathbb{N}}$. For example,

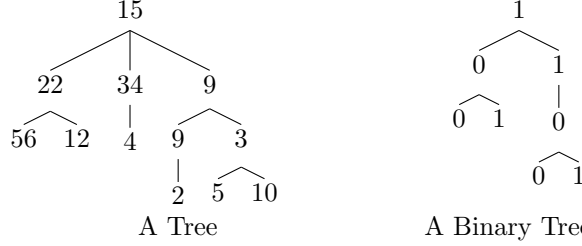
$$\langle 3, 35, 264, 6, 3, 2 \rangle \text{ and}$$

$$\langle 3, 264, 35, 6, 3, 2 \rangle$$

are two different strings of six natural numbers.

Definition 5.1. A set of strings of natural numbers T is a *tree* if it is closed under initial segments, that is, for all $\sigma \in T$ and for all $\tau \preceq \sigma$ (τ is an initial segment of σ), we have $\tau \in T$.

Definition 5.2. The set of all finite strings of 0's and 1's is denoted by $\{0, 1\}^{<\mathbb{N}}$. A *binary tree* is a subset of $\{0, 1\}^{<\mathbb{N}}$.



These finite trees have four levels. An infinite tree has infinitely many levels.

Definition 5.3. An *infinite path* through an infinite tree T is a function $f : \mathbb{N} \rightarrow \mathbb{N}$ such that for all $k \in \mathbb{N}$, the initial string $f[k] = \langle f(0), f(1), \dots, f(k-1) \rangle$ is in T . A path through a binary tree is a function $g : \mathbb{N} \rightarrow \{0, 1\}$.

An interesting equivalence to Weak König's Lemma is Σ_1^0 separation, which states that given two Σ_1^0 formulas of number variable n that are exclusive, there exists a set containing all n satisfying one formula and none satisfying the other. Reversals in WKL_0 frequently make use of Σ_1^0 separation.

Theorem 5.4. Over RCA_0 , the following are equivalent:

1. WKL_0 .
2. Σ_1^0 separation

Let $\varphi_0(n)$ and $\varphi_1(n)$ be Σ_1^0 formulas. If $\neg\exists n(\varphi_0(n) \wedge \varphi_1(n))$ then

$$\exists X \forall n ((\varphi_0(n) \rightarrow n \in X) \wedge (\varphi_1(n) \rightarrow n \notin X)).$$

The proof can be found in [2]. This is a full reversal theorem, in that WKL_0 proves Σ_1^0 separation, and Σ_1^0 separation implies WKL_0 over RCA_0 (the reversal).

Examples 5.5. Over RCA_0 , the following are equivalent:

- (i) WKL_0
- (ii) some properties of continuous real-valued functions on $[0, 1]$ and compact metric spaces such as uniform continuity, the maximum principle, Riemann integrability, and Weierstrass approximation
- (iii) the completeness and compactness theorems in mathematical logic
- (iv) the existence of real closure for countable formally real fields
- (v) the uniqueness of algebraic closure of countable fields
- (vi) the existence of prime ideals and countable commutative rings
- (vii) the Brouwer and Schauder fixed point theorems
- (viii) the Peano existence theorem for solutions of ordinary differential equations
- (ix) the separable Hahn/Banach theorem
- (x) the Heine/Borel theorem for $[0, 1]$ and compact metric spaces

[Various Authors]

The statements in Examples 5.5 are equivalent to Weak König's Lemma, hence the statements are also equivalent to WKL_0 over RCA_0 . All theorems that can be proven in RCA_0 can be proven in WKL_0 since WKL_0 consists of RCA_0 plus Weak König's Lemma. The addition of Weak König's Lemma to RCA_0 allows for the existence of non-computable sets.

The next logically stronger subsystem, ACA_0 , is equivalent over RCA_0 to König's Lemma. König's Lemma states that every infinite, finitely branching tree has a

path, so this is stronger than Weak König's Lemma which adds the condition that the trees must be binary trees. Hence, all theorems that can be proven in WKL_0 can be proven in ACA_0 .

6. ARITHMETICAL COMPREHENSION AXIOM (ACA_0)

ACA_0 is defined similarly to RCA_0 , but is stronger. ACA_0 also consists of the axioms of Peano arithmetic, but has a comprehension scheme for all arithmetical formulas (formulas with no set quantifiers), not just Δ_1^0 formulas. The relationship between RCA_0 and ACA_0 can be represented by the following equation:

$$ACA_0 = RCA_0 + \text{Arithmetical Comprehension}$$

Examples 6.1. Over RCA_0 , the following are equivalent:

- (i) ACA_0
- (ii) sequential compactness of $[0,1]$ and compact metric spaces
- (iii) the existence of the strong algebraic closure of a countable field
- (iv) every countable vector space over \mathbb{Q} has a basis
- (v) every countable commutative ring has a maximal ideal
- (vi) the uniqueness of the divisible closure of a countable Abelian group
- (vii) König's lemma for subtrees of $\mathbb{N}^{\mathbb{N}}$
- (viii) Ramsey's theorem for colorings of $[\mathbb{N}]^3$
- (ix) the least upper bound principle for sequences of real numbers

[Various Authors]

Finally, we will show an example of how to do a reverse mathematics proof.

Theorem 6.2. ACA_0 over RCA_0 is equivalent to the following:

- (i) Σ_1^0 comprehension
- (ii) The range of every one-to-one function $f : \mathbb{N} \rightarrow \mathbb{N}$ exists

Proof. Recall the discussion at the beginning of the section on RCA_0 as to how reverse mathematics is performed. First, we want to show the axioms $\mathcal{A} = ACA_0$ prove theorem τ , where τ is statements (i) and (ii). We use weak base theory $\mathcal{B} = RCA_0$. To do the reversal proof we will show $RCA_0 + \tau$ imply ACA_0 . This will allow us to conclude that Theorem 6.2 is true.

Proof that $ACA_0 \rightarrow \tau$

$ACA_0 \rightarrow$ (i): By definition, ACA_0 implies (i).

(i) \rightarrow (ii): Recalling Equation 2.2, (i) Σ_1^0 comprehension is the same as $\exists X \forall n (n \in X \leftrightarrow \varphi(n))$ where $\varphi(n)$ is restricted to a Σ_1^0 formula in which X does not occur freely.

The existence of the range is equivalent to saying:

there exists a set $X \subseteq \mathbb{N}$ (X is the range) such that $\forall n (n \in X \leftrightarrow \exists m (f(m) = n))$; $\varphi(n)$ as in the Σ_1^0 comprehension formula is $\exists m (f(m) = n)$, which is a Σ_1^0 formula.

Proof that $RCA_0 + \tau \rightarrow ACA_0$

(ii) \rightarrow (i): This follows from Lemma 4.7.

(i) $\rightarrow ACA_0$: We need to show that Σ_1^0 comprehension implies arithmetical comprehension. Every arithmetical formula consists of alternating \forall and \exists quantifiers (we do not know if the first quantifier is a \forall or if it is a \exists) followed by a Σ_0^0 bounded quantifier formula. If the formula starts with a \forall quantifier, then we can just put

a dummy variable with an existential quantifier at the beginning of the formula. Then we can write each arithmetical formula as a Σ_k^0 formula for some $k \in \mathbb{N}$, so it is sufficient to prove Σ_1^0 comprehension implies Σ_k^0 comprehension. We can do this using induction on $k \in \mathbb{N}$.

Base case: this is trivial for $k = 0, 1$.

Inductive step: Assuming Σ_k^0 comprehension, we need to show Σ_{k+1}^0 comprehension. Let $\varphi(n)$ be Σ_{k+1}^0 for $k \geq 1$. Then we can write $\varphi(n)$ as $\exists j \theta(n, j)$ where $\theta(n, j)$ is Π_k^0 . Let set $Y = \{(n, j) \mid \neg \theta(n, j)\}$, which exists by Σ_k^0 comprehension. By Σ_1^0 comprehension, let set $X = \{n \mid \exists j ((n, j) \notin Y)\}$. Then $n \in X$ if and only if $\exists j \theta(n, j)$, which is equivalent to $\varphi(n)$. \square

7. CONCLUSION

An interesting aside is that the five most commonly used subsystems in reverse mathematics, RCA_0 , WKL_0 , ACA_0 , ATR_0 , and $\Pi_1^1\text{-}CA_0$, correspond to philosophically motivated programs in foundations of mathematics: Bishop's constructivism, Hilbert's finitistic reductionism, Weyl's predicativity as developed by Feferman, predicative reductionism as developed by Friedman and Simpson, and impredicativity, respectively. By studying reverse mathematics, we gain insight into mathematical philosophy and the implications of using different programs.

Reverse mathematics provides a new lens with which we may examine theorems. We can prove that theorems from different fields of math have the same logical strength. We can also show that as we go from theorems provable in RCA_0 (Theorem 4.3) to those in WKL_0 (Examples 5.5) to those in ACA_0 (Examples 6.1), the theorems are increasing in logical strength. An hierarchy of logical strength exists, and can be extended by other subsystems such as the logically stronger ATR_0 and $\Pi_1^1\text{-}CA_0$.

Acknowledgments. It is a pleasure to thank my mentors, Damir Dzhafarov and Eric Astor, for guiding me through this paper, clarifying many concepts, and providing invaluable feedback. I also thank S. Simpson for writing the definitive book on reverse mathematics. Finally, I would like to thank my little brother for providing me writing breaks every five minutes.

REFERENCES

- [1] Antonio Montalban. "Logic". University of Chicago. July 2010. Lecture.
- [2] S. G. Simpson. Subsystems of Second Order Arithmetic. Cambridge University Press. 2009.
- [3] R. Soare. Computability Theory and Applications. Springer-Verlag.