

## WOMP 2004: HOMOLOGICAL ALGEBRA

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### 1. COMPLEXES, HOMOLOGY, AND COHOMOLOGY

A chain complex is a sequence of homomorphism of Abelian groups

$$\dots \longrightarrow C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} \dots \longrightarrow C_1 \xrightarrow{\partial_1} C_0 \rightarrow 0$$

where  $\partial_n \circ \partial_{n+1} = 0$  for each  $n \in \mathbb{N}$ . From this it follows that the image of  $\partial_{n+1}$  is contained in the kernel of  $\partial_n$ . The maps  $\partial_n$  are called differentials.

**Example 1.** Some examples of chain complexes. In all the rightmost nonzero group is  $C_0$ .

- (1)  $\dots \rightarrow 0 \rightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{0} \mathbb{Z} \rightarrow 0$ . Here  $C_1 = C_0 = \mathbb{Z}$  and all other groups are zero. All homomorphisms are zero.
- (2)  $\dots \rightarrow 0 \rightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{id} \mathbb{Z} \rightarrow 0$ . These are the same groups as above, but the map  $\mathbb{Z} \rightarrow \mathbb{Z}$  is the identity map.
- (3)  $\dots \rightarrow 0 \rightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \rightarrow 0$ . These are the same groups as above, but the map  $\mathbb{Z} \rightarrow \mathbb{Z}$  is the multiplication by 2 map.
- (4)  $\dots \rightarrow 0 \rightarrow 0 \rightarrow \mathbb{Z}/4 \xrightarrow{\times 2} \mathbb{Z}/8 \rightarrow 0$
- (5)  $\dots \rightarrow 0 \rightarrow 0 \rightarrow \mathbb{Z}/4 \xrightarrow{\times 4} \mathbb{Z}/8 \rightarrow 0$
- (6)  $\dots \rightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{f} \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{g} \mathbb{Z} \rightarrow 0$  where  $f(1) = (1, 1)$  and  $g(a, b) = a - b$ . Then  $g \circ f(a) = g(a, a) = a - a = 0$ .

We define the  $n^{th}$  homology group of the chain complex to be the quotient  $H_n(C) = \text{Ker}\partial_n / \text{Im}\partial_{n+1}$ .

**Example 2.** The chain complexes in Example 1 have the following homology groups.

- (1)  $H_0(C) = \text{Ker}\partial_0 / \text{Im}\partial_1 = \mathbb{Z}/0 = \mathbb{Z}$ ,  
 $H_1(C) = \text{Ker}\partial_1 / \text{Im}\partial_2 = \mathbb{Z}/0 = \mathbb{Z}$ .
- (2)  $H_0(C) = \text{Ker}\partial_0 / \text{Im}\partial_1 = \mathbb{Z}/\mathbb{Z} = 0$ ,  
 $H_1(C) = \text{Ker}\partial_1 / \text{Im}\partial_2 = 0/0 = 0$ .
- (3)  $H_0(C) = \text{Ker}\partial_0 / \text{Im}\partial_1 = \mathbb{Z}/2\mathbb{Z}$ ,  
 $H_1(C) = \text{Ker}\partial_1 / \text{Im}\partial_2 = 0/0 = 0$ .
- (4)  $H_0(C) = \mathbb{Z}/2\mathbb{Z}$ ,  
 $H_1(C) = 0$ .
- (5)  $H_0(C) = \mathbb{Z}/4\mathbb{Z}$ ,  
 $H_1(C) = \mathbb{Z}/2\mathbb{Z}$ .
- (6)  $H_0(C) = \mathbb{Z}/\mathbb{Z} = 0$ ,  
 $H_1(C) = 0$ ,  
 $H_2(C) = 0$ .

Some notation: The kernel of  $\partial_n$  is often written as  $Z_n(C)$  and is called the cycles of  $C$ . The image of  $\partial_{n+1}$  is written  $B_n(C)$  and is called the boundaries of  $C$ .

**Lemma 1.** *If all of the differentials in a chain complex are zero then the homology groups of the complex are isomorphic to the groups of the chain complex.*

*Proof.* The group  $H_n(C) = \text{Ker}\partial_n/\text{Im}\partial_{n+1}$ . If the differentials are all zero then  $\text{Ker}\partial_n = C_n$  and  $\text{Im}\partial_{n+1} = 0$  and so  $H_n(C) = C_n$ .  $\square$

A cochain complex is a sequence of homomorphisms of Abelian groups

$$\dots \leftarrow C^{n+1} \xleftarrow{\delta^{n+1}} C^n \xleftarrow{\delta^n} C^{n-1} \leftarrow \dots$$

where  $\delta^n \circ \delta^{n-1} = 0$  for each  $n$ . The difference between chain complexes and cochain complexes is that differentials are in the opposite direction.

The cohomology groups of a cochain complex are defined to be  $H^n(C) = \text{Ker}\delta^{n+1}/\text{Im}\delta^n$ .

**Exercise 1.** Compute the homology of the following complex.

$$0 \rightarrow \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\partial_2} \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\partial_1} \mathbb{Z} \rightarrow 0$$

$C_2$  is generated by  $U$  and  $L$ ,  $C_1$  is generated by  $a, b, c$ . Define  $\partial_1(a) = \partial_1(b) = \partial_1(c) = 0$  and  $\partial_2(U) = \partial_2(L) = a + b - c$ .

**Exercise 2.** Compute the homology of the following complex.

$$0 \rightarrow \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\partial_2} \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\partial_1} \mathbb{Z} \oplus \mathbb{Z} \rightarrow 0$$

$C_2$  is generated by  $U$  and  $L$ ,  $C_1$  is generated by  $a, b, c$ ,  $C_0$  is generated by  $v$  and  $w$ . Define  $\partial_1(a) = \partial_1(b) = \partial_1(c) = w - v$ ,  $\partial_2(U) = -a + b + c$ , and  $\partial_2(L) = a - b + c$ .

## 2. EXACT SEQUENCES

Let  $\alpha$  and  $\beta$  be homomorphisms of Abelian groups. We say that

$$A \xrightarrow{\alpha} B \xrightarrow{\beta} C$$

is exact at  $B$  if  $\text{Im}(\alpha) = \text{Ker}(\beta)$ . A sequence of homomorphisms of Abelian groups

$$A_n \xrightarrow{\alpha_n} A_{n-1} \xrightarrow{\alpha_{n-1}} A_{n-2} \longrightarrow \dots \longrightarrow A_1 \xrightarrow{\alpha_1} A_0$$

is exact if it is exact at  $A_i$  for  $i = 1, \dots, n-1$ .

**Example 3.** Of the chain complexes in Example 1 the following are exact.

- (1) Not exact,  $\text{Ker}(\mathbb{Z} \xrightarrow{0} \mathbb{Z}) = \mathbb{Z} \neq \text{Im}(0 \rightarrow \mathbb{Z}) = 0$
- (2) Exact,  $\text{Im}(0 \rightarrow \mathbb{Z}) = 0 = \text{Ker}(\mathbb{Z} \xrightarrow{id} \mathbb{Z})$  and  $\text{Im}(\mathbb{Z} \xrightarrow{id} \mathbb{Z}) = \text{Ker}(\mathbb{Z} \rightarrow 0) = \mathbb{Z}$ .
- (3) Not exact,  $\text{Im}(\mathbb{Z} \xrightarrow{\times 2} \mathbb{Z}) = 2\mathbb{Z} \neq \text{Ker}(\mathbb{Z} \rightarrow 0) = \mathbb{Z}$ .
- (4) Not exact,  $\text{Im}(\mathbb{Z}/4 \xrightarrow{\times 2} \mathbb{Z}/8) \neq \text{Ker}(\mathbb{Z}/8 \rightarrow 0)$ .
- (5) Not exact,  $\text{Ker}(\mathbb{Z}/4 \xrightarrow{\times 4} \mathbb{Z}/8) \neq \text{Im}(0 \rightarrow \mathbb{Z}/4)$ .
- (6) Exact,  $\text{Ker}(f) = \text{Im}(0 \rightarrow \mathbb{Z})$ ,  $\text{Im}(f) = \text{Ker}(g)$  and  $\text{Im}(g) = \text{Ker}(\mathbb{Z} \rightarrow 0)$ .

An exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is called a short exact sequence. A sequence of homomorphism of Abelian groups

$$\dots \longrightarrow A_{n+1} \xrightarrow{\alpha_{n+1}} A_n \xrightarrow{\alpha_n} A_{n-1} \longrightarrow \dots$$

that is exact for each  $A_n$  is called a long exact sequence. This is a chain complex since  $\text{Im}\alpha_{n+1} \subset \text{Ker}\alpha_n$ .

**Lemma 2.** *A chain complex of Abelian groups  $C$  is exact if and only if its homology is trivial, that is  $H_n(C) = 0$  for all  $n$ .*

*Proof.* The homology of a chain complex is defined to be  $\text{Ker}(\partial)/\text{Im}(\partial)$ . These are zero if and only if  $\text{Ker}(\partial) = \text{Im}(\partial)$ , or the sequence is exact.  $\square$

There are several properties that can be described by exact sequences.

- (1)  $0 \rightarrow A \xrightarrow{\alpha} B$  is exact if and only if  $\alpha$  is injective.
- (2)  $A \xrightarrow{\alpha} B \rightarrow 0$  is exact if and only if  $\alpha$  is surjective.
- (3)  $0 \rightarrow A \xrightarrow{\alpha} B \rightarrow 0$  is exact if and only if  $\alpha$  is an isomorphism.
- (4)  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \rightarrow 0$  is exact if and only if  $\alpha$  is injective,  $\beta$  is surjective and  $\text{Im}(\alpha) = \text{Ker}(\beta)$ .

**Lemma 3.** *For a short exact sequence*

$$0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \rightarrow 0$$

*of Abelian groups the following are equivalent.*

- (1) *There exists a homomorphism  $p : B \rightarrow A$  such that  $p\alpha = \text{id} : A \rightarrow A$ .*
- (2) *There exists a homomorphism  $s : C \rightarrow B$  such that  $\beta s = \text{id} : C \rightarrow C$ .*
- (3) *There exists an isomorphism  $B \rightarrow A \oplus C$  such that the following diagram commutes.*

$$\begin{array}{ccccccc}
 & & & & B & & \\
 & & & \alpha \nearrow & \downarrow & \searrow \beta & \\
 0 & \longrightarrow & A & & & & C \longrightarrow 0 \\
 & & & \searrow & \downarrow & \nearrow & \\
 & & & & A \oplus C & & 
 \end{array}$$

*The map  $A \rightarrow A \oplus C$  is  $a \mapsto (a, 0)$  and  $A \oplus C \rightarrow C$  is given by  $(a, c) \mapsto c$ .*

A short exact sequence is said to be split exact if it satisfies any of these equivalent conditions.

**Lemma 4** (Five lemma). *In the commutative diagram of Abelian groups below, if the two rows are exact and  $\alpha, \beta, \delta,$  and  $\epsilon$  are isomorphisms then  $\gamma$  is also an isomorphism.*

$$\begin{array}{ccccccccc}
 A & \xrightarrow{i} & B & \xrightarrow{j} & C & \xrightarrow{k} & D & \xrightarrow{l} & E \\
 \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \downarrow \delta & & \downarrow \epsilon \\
 A' & \xrightarrow{i'} & B' & \xrightarrow{j'} & C' & \xrightarrow{k'} & D' & \xrightarrow{l'} & E'
 \end{array}$$

*Proof.* First show that  $\gamma$  is injective. Let  $c \in C$  be such that  $\gamma(c) = 0$ . Then  $k' \circ \gamma(c) = 0 = \delta \circ k(c)$ . The map  $\delta$  is injective so  $k(c) = 0$ . Since the top row is exact there is an element  $b \in B$  so that  $j(b) = c$ . Since  $j' \circ \beta(b) = \gamma(c) = 0$ , there exists  $a' \in A'$  so that  $i'(a') = \beta(b)$ . The map  $\alpha$  is surjective so there exists  $a \in A$  such that  $\alpha(a) = a'$ . Then  $\beta(i(a) - b) = \beta \circ i(a) - \beta(b) = i' \circ \alpha(a) - \beta(b) = \beta(b) - \beta(b) = 0$ , and since  $\beta$  is injective  $b = i(a)$ . Since the top row is exact,  $c = j(b) = j \circ i(a) = 0$ .

To show that  $\gamma$  is surjective, let  $c' \in C'$ . Since  $\delta$  is surjective there exists  $d \in D$  so that  $\delta(d) = k'(c')$ .  $\epsilon \circ l(d) = l' \circ \delta(d) = 0$  and since  $\epsilon$  is injective,  $l(d) = 0$ . The top row is exact so there exists  $c \in C$  so that  $k(c) = d$ .  $k'(c' - \gamma(c)) = k'(c') - k' \circ \gamma(c) = 0$  so there exists  $b' \in B'$  such that  $j'(b') = c' - \gamma(c)$ . The map  $\beta$  is surjective so there exists  $b \in B$  so that  $\beta(b) = b'$ . Then  $\gamma(c + j(b)) = \gamma(c) + \gamma \circ j(b) = \gamma(c) + j' \circ \beta(b) = \gamma(c) + j'(b') = c'$ .  $\square$

**Definition 1.** Let  $C = \{C_n, \partial_n\}$  and  $D = \{D_n, \partial'_n\}$  be chain complexes. A map  $f = \{f_n\} : C \rightarrow D$  is a map of chain complexes if there is a map  $f_n : C_n \rightarrow D_n$  for each  $n$  and the squares below commute.

$$\begin{array}{ccccc} C_{n+1} & \xrightarrow{\partial_{n+1}} & C_n & \xrightarrow{\partial_n} & C_{n-1} \\ \downarrow f_{n+1} & & \downarrow f_n & & \downarrow f_{n-1} \\ D_{n+1} & \xrightarrow{\partial'_{n+1}} & D_n & \xrightarrow{\partial'_n} & D_{n-1} \end{array}$$

**Proposition 5.** A map of chain complexes  $f : C \rightarrow D$  induces a map  $H_n(f) : H_n(C) \rightarrow H_n(D)$  for each  $n$ .

The proof of this proposition involves considering the diagram

$$\begin{array}{ccccc} C_{n+1} & \xrightarrow{\partial_{n+1}} & C_n & \xrightarrow{\partial_n} & C_{n-1} \\ \downarrow f_{n+1} & & \downarrow f_n & & \downarrow f_{n-1} \\ D_{n+1} & \xrightarrow{\partial'_{n+1}} & D_n & \xrightarrow{\partial'_n} & D_{n-1} \end{array}$$

and showing that there is a map  $f|_{\text{Ker}(\partial_n)} : \text{Ker}(\partial_n) \rightarrow \text{Ker}(\partial'_n)$  and  $f|_{\text{Ker}(\partial_n)}(\text{Im}\partial_{n+1}) \subset \text{Im}\partial'_{n+1}$ .

**Theorem 6.** A short exact sequence of chain complexes

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$$

induces a long exact sequence in homology.

A short exact sequence of chain complexes is a commutative diagram like that below where the rows are chain complexes and the columns are short exact sequences.

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & A_{n+1} & \longrightarrow & A_n & \longrightarrow & A_{n-1} & \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & B_{n+1} & \longrightarrow & B_n & \longrightarrow & B_{n-1} & \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & C_{n+1} & \longrightarrow & C_n & \longrightarrow & C_{n-1} & \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$

The proof of this theorem is not particularly difficult, but it is very long and has many parts. Instead of including a complete proof here, Proposition 5 and the following propositions are the major steps in the proof. The complete proof can be found in [1, p 114] and [2, p 46].

**Proposition 7.** For each  $n$  the sequence

$$H_n(A) \xrightarrow{H_n(f)} H_n(B) \xrightarrow{H_n(g)} H_n(C)$$

is exact.

**Proposition 8.** For each  $n$  there exists a homomorphism  $\partial : H_n(C) \rightarrow H_{n-1}(A)$ .

*Proof.* First define a function  $\phi : Z_n(C) \rightarrow H_{n-1}(A)$ . Let  $c \in Z_n(C)$ , since  $g_n : B_n \rightarrow C_n$  is surjective there exists  $b \in B_n$  such that  $g_n(b) = c$ . Consider  $\partial(b) \in B_{n-1}$ , then

$$g_{n-1}(\partial(b)) = \partial(g_n(b)) = \partial(c) = 0$$

so  $\partial(b) \in \text{Ker}(g_{n-1}) = \text{Im}(f_{n-1})$ . Since  $f_{n-1}$  is a monomorphism, there exists a unique  $a \in A_{n-1}$  such that  $f_{n-1}(a) = \partial(b)$ . Then

$$f_{n-2}(\partial(a)) = \partial(f_{n-1}(a)) = \partial(\partial(b)) = 0,$$

and  $f_{n-2}$  is a monomorphism so  $\partial(a) = 0$ . Therefore  $a \in Z_{n-1}(A)$  and the map  $Z_n(C) \rightarrow Z_{n-1}(A)$  given by  $c \mapsto a$  followed by the projection map  $Z_{n-1}(A) \rightarrow Z_{n-1}(A)/B_{n-1}(A)$  defines the map  $\phi$ . There are three more steps to this proof:

- (1) Prove this map is independent of the choices of  $b$ .
- (2) Prove this is a homomorphism.
- (3) Prove the kernel of this map contains  $B_n(C)$  = boundaries of  $C$ .

□

**Proposition 9.** The sequence

$$H_n(A) \xrightarrow{H_n(f)} H_n(B) \xrightarrow{H_n(g)} H_n(C) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{H_{n-1}(f)} H_{n-1}(B)$$

is exact for each  $n$ .

Some of the work in this proposition was done in Proposition 7 so for this proof it remains to check that  $\text{Im}(H_n(g)) = \text{Ker}(\partial)$  and  $\text{Im}(\partial) = \text{Ker}(H_{n-1}(f))$ .

The following exercises use the definition of an exact sequence. Solutions can be found in [2].

**Exercise 3.** In an arbitrary exact sequence

$$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D$$

of homomorphisms of Abelian groups, the following are equivalent

- (1)  $f$  is an epimorphism.
- (2)  $g$  is the trivial homomorphism.
- (3)  $h$  is a monomorphism.

**Exercise 4.** In an arbitrary exact sequence

$$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D \xrightarrow{k} E$$

of homomorphisms of Abelian groups  $C = 0$  if and only if  $f$  is an epimorphism and  $k$  is a monomorphism.

**Exercise 5.** If a sequence  $0 \rightarrow C \rightarrow 0$  of Abelian groups is exact then  $C = 0$ .

**Exercise 6.** In an arbitrary exact sequence

$$A \xrightarrow{d} B \xrightarrow{f} C \xrightarrow{g} D \xrightarrow{h} E \xrightarrow{k} F$$

of homomorphisms of Abelian groups the following are equivalent.

- (1)  $g$  is an isomorphism.

- (2)  $f$  and  $h$  are trivial homomorphisms.
- (3)  $d$  is an epimorphism and  $k$  is a monomorphism.

**Exercise 7.** Suppose that in the following diagram the row is exact and  $h \circ f = 0$ .

$$\begin{array}{ccccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C & \longrightarrow & 0 \\ & & \downarrow h & & & & \\ & & D & & & & \end{array}$$

Prove that there exists a unique homomorphism  $k : C \rightarrow D$  such that  $k \circ g = h$ .

#### REFERENCES

- [1] Hatcher, Allen. Algebraic topology. Cambridge University Press, Cambridge, 2002. Really an Algebraic Topology book, but includes basic definitions as they are needed.
- [2] Hu, Sze-Tsen. Introduction to Homological Algebra. Holden-Day, Inc., San Francisco, 1968. Older reference, but starts at the beginning and includes lots of details.
- [3] Mac Lane, Saunders. Homology. Reprint of the 1975 edition. Classics in Mathematics. Springer-Verlag, Berlin, 1995. Also an older reference, less approachable than Hu, but more approachable than Weibel.
- [4] Weibel, Charles A. An introduction to homological algebra. Cambridge Studies in Advanced Mathematics, 38. Cambridge University Press, Cambridge, 1994. A useful book but probably not the place to start.