## Notions of independence in computable commutative algebra

Alexander Melnikov

Chicago, 2014.

Alexander Melnikov Notions of independence in computable commutative algebra

In commutative algebra, a class usually admits a natural notion of "independence".

## In commutative algebra, a class usually admits a natural notion of "independence".

<u>The Main Problem</u>: In a class *K*, does every structure have a computable copy with a computable base? What about a copy with no computable base?

## In commutative algebra, a class usually admits a natural notion of "independence".

<u>The Main Problem</u>: In a class *K*, does every structure have a computable copy with a computable base? What about a copy with no computable base?

We will give a sufficient condition with applications to abelian groups, ordered abelian groups, *DCF*<sub>0</sub>, *RCF*, and difference closed fields.

## Part 1: Known results

The earliest results include:

#### The earliest results include:

#### Example 1 (Mal'cev)

The divisible abelian group  $V_{\infty} = \bigoplus_{i \in \omega} \mathbb{Q}$  of infinite rank has a computable copy with no computable  $\mathbb{Z}$ -basis.

Note that  $V_{\infty}$  has a nice copy by default.

#### The earliest results include:

#### Example 1 (Mal'cev)

The divisible abelian group  $V_{\infty} = \bigoplus_{i \in \omega} \mathbb{Q}$  of infinite rank has a computable copy with no computable  $\mathbb{Z}$ -basis.

Note that  $V_{\infty}$  has a nice copy by default.

#### Example 2 (Folklore)

The algebraic closure  $\mathbb{U}$  of  $\mathbb{Q}(x_i : i \in \omega)$  has a computable copy with no computable transcendence base.

Note that  $\ensuremath{\mathbb{U}}$  has a nice copy for free as well.

#### The earliest results include:

#### Example 1 (Mal'cev)

The divisible abelian group  $V_{\infty} = \bigoplus_{i \in \omega} \mathbb{Q}$  of infinite rank has a computable copy with no computable  $\mathbb{Z}$ -basis.

Note that  $V_{\infty}$  has a nice copy by default.

#### Example 2 (Folklore)

The algebraic closure  $\mathbb{U}$  of  $\mathbb{Q}(x_i : i \in \omega)$  has a computable copy with no computable transcendence base.

Note that  $\ensuremath{\mathbb{U}}$  has a nice copy for free as well.

Corollary 3 (Mal'cev, ..., ..., Goncharov)

Both  $V_{\infty}$  and  $\mathbb{U}$  are not computably categorical, and indeed have auto-dimension  $\omega$ .

The latter follows because we have a  $\Delta_2^0$ -isomorphic "bad" copy.

In contrast to  $V_{\infty}$  and  $\mathbb{U}$ , torsion-free abelian groups do not have "nice" copies by default.

#### Theorem 4 (Dobrica, Nurtazin)

Every computable torsion-free abelian group A of infinite  $\mathbb{Z}$ -rank has two computable copies B and G such that:

- *B* has no computable  $\mathbb{Z}$ -base;
- G has a computable  $\mathbb{Z}$ -base;

• 
$$B \cong_{\Delta_2^0} G.$$

In contrast to  $V_{\infty}$  and  $\mathbb{U}$ , torsion-free abelian groups do not have "nice" copies by default.

#### Theorem 4 (Dobrica, Nurtazin)

Every computable torsion-free abelian group A of infinite  $\mathbb{Z}$ -rank has two computable copies B and G such that:

- *B* has no computable  $\mathbb{Z}$ -base;
- G has a computable  $\mathbb{Z}$ -base;

• 
$$B \cong_{\Delta_2^0} G.$$

#### Corollary 5 (Goncharov)

A has auto-dimension  $\omega$ .

It takes more work to establish:

#### Theorem 6 (Goncharov, Lempp, Solomon)

Every computable Archimedean ordered abelian group A of infinite  $\mathbb{Z}$ -rank has two computable copies B and G such that:

- B has no computable  $\mathbb{Z}$ -base;
- G has a computable  $\mathbb{Z}$ -base;
- $B \cong_{\Delta_2^0} G.$

It takes more work to establish:

#### Theorem 6 (Goncharov, Lempp, Solomon)

Every computable Archimedean ordered abelian group A of infinite  $\mathbb{Z}$ -rank has two computable copies B and G such that:

- *B* has no computable  $\mathbb{Z}$ -base;
- G has a computable  $\mathbb{Z}$ -base;

• 
$$B \cong_{\Delta_2^0} G.$$

#### Corollary 7 (G.L.S.)

A has auto-dimension  $\omega$ .

#### So we see a pattern.

#### **Definition 8**

We say that a class K of computable structures and the associated notion of independence has

#### the Mal'cev property

if for every  $A \in K$  of infinite dimension there exist computable presentations *B* and *G* of *A* such that:

- *B* has no computable Z-base;
- *G* has a computable  $\mathbb{Z}$ -base;

• 
$$B \cong_{\Delta_2^0} G.$$

#### So we see a pattern.

#### **Definition 8**

We say that a class K of computable structures and the associated notion of independence has

#### the Mal'cev property

if for every  $A \in K$  of infinite dimension there exist computable presentations *B* and *G* of *A* such that:

- *B* has no computable Z-base;
- *G* has a computable  $\mathbb{Z}$ -base;

• 
$$B \cong_{\Delta_2^0} G.$$

#### Question

Which classes have the Mal'cev property?

# Part 2: Computably enumerable pregeometries

Alexander Melnikov Notions of independence in computable commutative algebra

Let X be a set and cl :  $\mathcal{P}(X) \to \mathcal{P}(X)$  a function on  $\mathcal{P}(X)$ . We say that cl is a pregeometryif:

•  $X \subseteq cl(X)$  and cl(cl(X)) = cl(X),

• 
$$X \subseteq Y \Rightarrow \operatorname{cl}(X) \subseteq \operatorname{cl}(Y),$$

- (finite character) cl(X) is the union of the sets cl(Y) where
  Y is a finite subset of X, and
- (exchange principle) if  $x \in cl(X \cup \{y\})$  and  $x \notin cl(X)$ , then  $y \in cl(X \cup \{x\})$ .

See [Downey and Remmel] (The Handbook of Rec. Math.) for a survey on computable pregeometries.

See [Downey and Remmel] (The Handbook of Rec. Math.) for a survey on computable pregeometries.

Each pregeometry under consideration will be upon the domain of a computable structure  $\mathcal{M}$  and will be relatively intrinsically c.e. (i.e., the relations  $x \in cl(\{y_1, \ldots, y_n\})$  are relatively intrinsically c.e. in  $\mathcal{M}$ , uniformly in *n*.)

See [Downey and Remmel] (The Handbook of Rec. Math.) for a survey on computable pregeometries.

Each pregeometry under consideration will be upon the domain of a computable structure  $\mathcal{M}$  and will be relatively intrinsically c.e. (i.e., the relations  $x \in cl(\{y_1, \ldots, y_n\})$  are relatively intrinsically c.e. in  $\mathcal{M}$ , uniformly in *n*.)

In general, our pregeometry will be computable only within the "good" copy G of  $\mathcal{M}$ . One can then apply most of the results form [Downey and Remmel] to G.

We need <u>new ideas</u> in the context of  $DCF_0$  and other field-like structures. (Intuitively, this is because algebra is "not linear" anymore, and bad things can happen locally.)

We need <u>new ideas</u> in the context of  $DCF_0$  and other field-like structures. (Intuitively, this is because algebra is "not linear" anymore, and bad things can happen locally.)

Let  $\mathcal M$  be a structure and  $(\mathcal M, cl)$  a r.i.c.e. pregeometry.

<u>Condition G</u>: Uniformly in  $\bar{c} \in M$ , we can effectively list the existential formulas  $\varphi(\bar{c}, \bar{x})$  which have a solution  $\bar{a}$  independent over  $\bar{c}$ .

We need <u>new ideas</u> in the context of  $DCF_0$  and other field-like structures. (Intuitively, this is because algebra is "not linear" anymore, and bad things can happen locally.)

Let  $\mathcal M$  be a structure and  $(\mathcal M, cl)$  a r.i.c.e. pregeometry.

<u>Condition G</u>: Uniformly in  $\bar{c} \in M$ , we can effectively list the existential formulas  $\varphi(\bar{c}, \bar{x})$  which have a solution  $\bar{a}$  independent over  $\bar{c}$ .

<u>Condition B</u>: The existential types of independent elements in  $\mathcal{M}$  are non-principal.

(In other words: For any existential formula  $\varphi(\bar{c}, x)$  holding of any element *a* which is independent over  $\bar{c}$ , there is an element *b* which satisfies  $\varphi(\bar{c}, x)$  and is dependent over  $\bar{c}$ .)

#### The metatheorem:

#### Theorem 10 (Harrison-Trainor, M., Montalban 2014)

Let  $\mathcal{M}$  be a structure and  $(\mathcal{M}, cl)$  a r.i.c.e. pregeometry of infinite rank that satisfies Condition G and Condition B. Then  $(\mathcal{M}, cl)$  has the Mal'cev property.

#### The metatheorem:

#### Theorem 10 (Harrison-Trainor, M., Montalban 2014)

Let  $\mathcal{M}$  be a structure and  $(\mathcal{M}, cl)$  a r.i.c.e. pregeometry of infinite rank that satisfies Condition G and Condition B. Then  $(\mathcal{M}, cl)$  has the Mal'cev property.

In fact, Condition *G* implies the existence of a  $\Delta_2^0$ -isomorphic "good" copy where *cl* is computable, and Condition *B* implies the existence of a  $\Delta_2^0$ -isomorphic "bad" copy where *cl* is not computable.

#### The metatheorem:

#### Theorem 10 (Harrison-Trainor, M., Montalban 2014)

Let  $\mathcal{M}$  be a structure and  $(\mathcal{M}, cl)$  a r.i.c.e. pregeometry of infinite rank that satisfies Condition G and Condition B. Then  $(\mathcal{M}, cl)$  has the Mal'cev property.

In fact, Condition *G* implies the existence of a  $\Delta_2^0$ -isomorphic "good" copy where *cl* is computable, and Condition *B* implies the existence of a  $\Delta_2^0$ -isomorphic "bad" copy where *cl* is not computable.

#### Corollary 11

 $\mathcal{M}$  has auto-dimension  $\omega$ .

## Part 3: Applications

#### Corollary 12 (H.-T., M.,M.)

We get essentially all that was known before and with nicer proofs:

- The trivial examples (vector spaces, *ACF*<sub>0</sub>, etc.).
- Torsion-free abelian groups (we use the factorial trick and Rado Lemma).
- Archimedean ordered abelian groups (we use the factorial trick and *o*-minimality of ℝ).

A differential field is a field K together with a derivation operator  $\delta : K \to K$  which is linear and which satisfies the Leibniz rule; that is,  $\delta(x + y) = \delta(x) + \delta(y)$  and  $\delta(xy) = x\delta(y) + y\delta(x)$ .

A differential field is a field K together with a derivation operator  $\delta : K \to K$  which is linear and which satisfies the Leibniz rule; that is,  $\delta(x + y) = \delta(x) + \delta(y)$  and  $\delta(xy) = x\delta(y) + y\delta(x)$ .

#### Definition 14

A differential field is differentially closed if it is existentially closed in the language  $(0, +, \times, \delta)$ .

A differential field is a field K together with a derivation operator  $\delta : K \to K$  which is linear and which satisfies the Leibniz rule; that is,  $\delta(x + y) = \delta(x) + \delta(y)$  and  $\delta(xy) = x\delta(y) + y\delta(x)$ .

#### Definition 14

A differential field is differentially closed if it is existentially closed in the language  $(0, +, \times, \delta)$ .

Famously,  $DCF_0$  is decidable. Harrington showed that every computable differential field can be embedded into a computable  $DCF_0$ . R. Miller has recently showed that  $DCF_0$  has The Low Property.

There is a natural notion of  $\delta$ -algebraic dependence in differential fields. We show:

#### Corollary 15 (H.-T., M., M.)

Every computable differentially closed field of infinite  $\delta$ -transcendence degree has the Mal'cev property.

There is a natural notion of  $\delta$ -algebraic dependence in differential fields. We show:

#### Corollary 15 (H.-T., M., M.)

Every computable differentially closed field of infinite  $\delta$ -transcendence degree has the Mal'cev property.

Interestingly enough, our proof has some technical similarities with the R.Miller's proof of the Low Property for  $DCF_0$ . We have no formal explanation for this phenomenon. Something even more general may be going on here.

I assume that we know what real closed fields are.

#### Corollary 16 (H.-T., M., M.)

Every computable real closed field of infinite transcendence degree has the Mal'cev property.

I assume that we know what real closed fields are.

#### Corollary 16 (H.-T., M., M.)

Every computable real closed field of infinite transcendence degree has the Mal'cev property.

Our proof uses cell decompositions etc.

A difference filed is a fields with a distinguished automorphism  $\sigma$ . The natural associated notion of independence (involving  $\sigma$ ) is called *transformal independence*.

#### Definition 17 (Chatzidakis and Hrushovski (?), 1999)

A difference closed field is an existentially closed difference field.

A difference filed is a fields with a distinguished automorphism  $\sigma$ . The natural associated notion of independence (involving  $\sigma$ ) is called *transformal independence*.

#### Definition 17 (Chatzidakis and Hrushovski (?), 1999)

A difference closed field is an existentially closed difference field.

#### Corollary 18 (H.-T., M., M.)

Every computable difference closed field of characteristic 0 of infinite transformal degree has the Mal'cev property.

Our proof relies on various model theoretic properties of these fields.

## Part 4. A negative result

In the 1960's and 1970's people wondered whether countable abelian *p*-groups admit a good notion of a "basis".

In the 1960's and 1970's people wondered whether countable abelian *p*-groups admit a good notion of a "basis".

The most well-known attempt is probably Kulikov's *p*-basis. However, this approach has certain limitations.

In the 1960's and 1970's people wondered whether countable abelian *p*-groups admit a good notion of a "basis".

The most well-known attempt is probably Kulikov's *p*-basis. However, this approach has certain limitations.

In effective algebra, we use the notion of a *p*-basic tree (L. Rogers (1977) based on Crawley and Hales (1969)).

Let A be an abelian p-group.

#### Definition 19 (L. Rogers)

A *p*-basic tree of *A* is the collection of elements *B* of *A* with the properties:

• Every  $a \in A$  can be uniquely expressed as

$$a=\sum_{b\in B}k_bb,$$

where each  $k_b \in \mathbb{Z}_p$ ;

**2** For every  $b \in B$ , either  $pb \in B$  or pb = 0.

Classically, every countable abelian *p*-group admits a *p*-basic tree. The question below is open:

Classically, every countable abelian *p*-group admits a *p*-basic tree. The question below is open:

#### Question (Ash, Knight and Oates, ~1990)

Does every computable reduced abelian *p*-group have a copy with a computable *p*-basic tree?

The answer is known to be YES for every computable reduced p-group of finite UIm type (to be explained).

For every abelian *p*-group *A* we can define its "derivative" A' to the the collection of elements of infinite *p*-height.

For every abelian *p*-group *A* we can define its "derivative" *A*' to the the collection of elements of infinite *p*-height. Ulm: In fact,  $A' \leq A$  and

$$A_1 = A/A'$$

splits into a direct sum of cyclic groups.

For every abelian *p*-group *A* we can define its "derivative" *A*' to the the collection of elements of infinite *p*-height. Ulm: In fact,  $A' \leq A$  and

$$A_1 = A/A'$$

splits into a direct sum of cyclic groups. We iterate this process:

$$A^{0} = A,$$
$$A^{(k+1)} = (A^{(k)})',$$
$$\dots$$
$$A^{(\omega)} = \bigcap_{n} A^{(n)}$$

We also define the UIm factors

$$A_{eta} = A^{(eta)} / A^{(eta+1)}$$

A countable abelian *p*-group is reduced if there is a (countable)  $\beta$  such that

$$A_{\beta} = 0.$$

The least such  $\beta$  is called the Ulm type of *A*, written  $\mathbb{U}(A)$ .

A countable abelian *p*-group is reduced if there is a (countable)  $\beta$  such that

$$A_{\beta} = 0.$$

The least such  $\beta$  is called the Ulm type of A, written  $\mathbb{U}(A)$ .

Theorem 20 (Ulm)

Let  $\beta = \mathbb{U}(A)$ . Then the isomorphism types of the Ulm factors  $A_{\gamma}$ ,  $\gamma < \beta$ , completely describe the isomorphism type of A.

#### Theorem 21 (Ash-Knight-Oates)

Suppose *A* is a computable reduced abelian *p*-group and  $\mathbb{U}(A) = n < \omega$ . Then *A* has a computable copy with a computable *p*-basic tree.

#### Theorem 21 (Ash-Knight-Oates)

Suppose *A* is a computable reduced abelian *p*-group and  $\mathbb{U}(A) = n < \omega$ . Then *A* has a computable copy with a computable *p*-basic tree.

The only proof that we know is <u>non-uniform</u>. For every  $k \le n$  we need to have an access to a certain effective invariant of  $A_n$ , namely its limitwise monotonic function.

If we had a <u>uniform</u> access to these limitwise monotonic functions, the case of  $\mathbb{U}(A) = \omega$  would not be a problem.

If we had a <u>uniform</u> access to these limitwise monotonic functions, the case of  $\mathbb{U}(A) = \omega$  would not be a problem.

The upper bound for

```
"e is an index of a \Delta_{2n}^0 limitwise monotonic function ranging over the invariant of A_{n+1}"
```

is "uniformly  $\Pi_{2n+3}^0$ ".

If we had a <u>uniform</u> access to these limitwise monotonic functions, the case of  $\mathbb{U}(A) = \omega$  would not be a problem.

The upper bound for

"*e* is an index of a  $\Delta_{2n}^0$  limitwise monotonic function ranging over the invariant of  $A_{n+1}$ "

is "uniformly  $\Pi_{2n+3}^0$ ".

#### Theorem 22 (Downey, M., Ng)

The upper bound above can not be improved to "uniformly  $\sum_{2n+3}^{0}$ ".

Furthermore, the theorem is witnessed by a computable abelian *p*-group of Ulm type  $\omega$  that possesses a computable *p*-basic tree.

If we had a <u>uniform</u> access to these limitwise monotonic functions, the case of  $\mathbb{U}(A) = \omega$  would not be a problem.

The upper bound for

"*e* is an index of a  $\Delta_{2n}^0$  limitwise monotonic function ranging over the invariant of  $A_{n+1}$ "

is "uniformly  $\Pi_{2n+3}^0$ ".

#### Theorem 22 (Downey, M., Ng)

The upper bound above can not be improved to "uniformly  $\sum_{2n+3}^{0}$ ".

Furthermore, the theorem is witnessed by a computable abelian *p*-group of UIm type  $\omega$  that possesses a computable *p*-basic tree.

The proof uses elements of the 0" technique.

• The result tells me that "having a computable *p*-basic tree" does not reduce the complexity of the (non)uniformity in the problem.

- The result tells me that "having a computable *p*-basic tree" does not reduce the complexity of the (non)uniformity in the problem.
- The result also suggests that there is no hope of solving the problem using any of the known methods.

- The result tells me that "having a computable *p*-basic tree" does not reduce the complexity of the (non)uniformity in the problem.
- The result also suggests that there is no hope of solving the problem using any of the known methods.
- There is a very little hope of getting a counterexample.



## Thanks!

Alexander Melnikov Notions of independence in computable commutative algebra